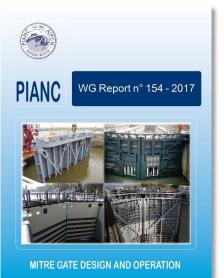


PIANC WORKSHOP

'MITRE GATE DESIGN and OPERATION'



The World Association for Waterborne Transport Infrastructure

6 November 2017

Brussels – BELGIUM PIANC Headquarters: Ferraris Building, Boulevard Albert II, 20 – 1000 Brussels

In the framework of the PIANC InCom Report n°154

A similar conference (in French) will be organised in Paris on 7 November 2017, with most of the same speakers. For more info contact: Mr Fabrice DALY - <u>fabrice.daly@vnf.fr</u>

!!! Maximum 80 participants including a maximum of 20 YP's/students!!!

The workshop on 6th Nov. is a one day event to launch and promote the new PIANC Report n°154 on **Mitre Gate Design and Operation** (published by PIANC in August 2017).

We will start by a <u>presentation of the new PIANC Report</u> by the members of the WG (focusing on the new innovative concepts – with an updated state of art), followed by an open forum with worldwide experts discussing <u>about the lessons learnt from mitre gate failures</u> that happened recently in several navigation locks.

REGISTRATION

http://www.workshopregistration.pianc.org/workshopmitregate.htm

AGENDA

- 8:00 Arrival PIANC Headquarter Brussels
- 8:20 Welcome by Philippe Rigo, PIANC InCom Chair
- 8:30 10:15 MITRE GATES DESIGN AND OPERATION Part 1

Presentation of the PIANC Report n°154 on Mitre Gates (*)

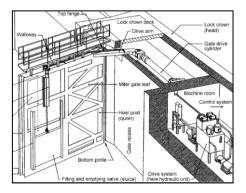
Chair: Fred Joers, Moderator: Philippe Rigo

Focus on the new innovative concepts & updated state of art by

- Fred Joers (USACE, USA): Introduction
- Ryszard Daniël, PhD. Eng. (RADAR Structural, NL): Load transfer, Hinge, Pivot, ...
- Joshua Repp (Bergmann Assoc., USA) Mitre Gate Design



Mitre Gates of the 1st & 2nd Set of Miraflores Locks, Panama



10:15 - 10:45 Break

10:45 - 12:30 MITRE GATES DESIGN AND OPERATION – part 2

Presentation of the PIANC Report n°154 on Mitre Gates (*) Chair: F Joers, Moderator: Ph Rigo

- Jos Vorstenbosch (RWS, NL) Materials & Use of FRP in MitreGates
- Eric Johnson (USACE, USA) Mitre Gate Seals
- Yvan Cordier (VNF, FR) Operations and Maintenance and Ancillary Components
- 12:30 14:00 Lunch
- 14:00 17:30: Expert Panel

Exchanges of experience & lessons learnt from the failures happened recently at few mitre gates,

Introduction by Fred Joers, WG154 Chair

14:00 - 15:30 **PRESENTATIONS OF THE STUDY CASES (lessons learnt)** Moderator Ph Rigo

The following speakers will present the

- Lanaye Mitre Gates (BE), by David MONFORT, Bureau Greisch (BEG)
- Ivoz-Ramet Mitre Gates (BE), by Stéphane BARLET, SPW
- Evergem Mitre Gates (BE), by Jeroen VERBELEN, W&Z
- Cracking of USA Mitre Gates, by Eric JOHNSON, USACE, USA
- Cracking of Embedded Anchorage, by Fred JOERS, USACE, USA
- Maasbracht Lock Gates, by Jos VORSTENBOSCH, Rijkswaterstaat, NL
- 15:30 16:00 Break

16:00 - 17:15 **OPEN DISCUSSIONS**

Moderator Ph Rigo, InCom Chair, Prof. ULg

Expert Panel composed of:

- Rigoberto H. Delgado V., Panama Canal Authority
- James Costello, TetraTech, USA
- David Monfort, Bureau Greisch (BEG), Belgium
- Stéphane Barlet, SPW, Belgium
- Jeroen Verbelen, W&Z, Belgium
- Uwe Enders, BAW, Germany

and the PIANC WG 154 Members (*)





17:15 - 17:30 **CONCLUSION**:

Ph. RIGO, INCOM Chairman, and Fred JOERS, WG154 Chairman on Mitre Gates (2017)

17:30-18:00 Drink



(*) With the support of the WG 154 members:

- Frederick Joers, Eric Johnson, John D. Clarkson and Thomas Hood, USACE, USA
- Andrew Bator, St Lawrence Seaway, Canada
- Yvan Cordier, VNF, France
- Richard Daniel, RADAR Structural, NL
- Jos Vorstenbosch, RWS, NL
- Michael Hough, TetraTech (USA)
- Joshua M. Repp, Bergmann Associates, USA
- Juan Ollero, INROS LACKNER, Germany,
- Joris Meersschaert and Dieter Gevaert, SBE, Belgium

EXPERT PANEL (from 2 pm to 5:30pm)

Exchanges of experience & lessons learnt from the failures happened recently at few mitre gates

PRESENTATIONS OF THE STUDY CASES (lessons learnt)

1) Lanaye Mitre Gates (BE),

<u>Speaker:</u>

greisch

Name: David MONFORT Position: Head of Department of infrastructures Company: Study Office Greisch, (BEG)

Title: Crack Initiation on stiffeners & repair method, Downstream mitre gate - 4th lock of Lanaye (BE)

The new class VIb lock of Lanaye (225mx25m) is equipped with a downstream mitre gate. The lock water head is 14 m. The lock was inaugurated in July 2015.



One year later, a series of cracks were detected along the vertical stiffeners of both leaves of the miter gates.



A close collaboration started straight away between all the involved services (Public Administration, Contractors, Study Office, Supervising Office and Insurance). A series of investigations and additional calculations were performed (residual stresses due to welding method, combination of bending and shearing effects in the stiffeners, fatigue induced cracking,...).

The technical explanation was found out a few weeks later: the filling and emptying cycles of the lock generate a repeated local distortion of the horizontal box girders, whose webs are directly connected to the vertical stiffeners (U-rib). Local bending stresses appear and lead to fatigue cracking (oligo-cyclic phenomenon).

2) Ivoz-Ramet Mitre Gates (BE)

<u>Speaker:</u>



Name: Stéphane BARLET Position: Civil servant - Project Engineer Administration: SPW – Waterways of Liège, Belgium

Title: Ivoz Ramet (BE) - Two locks equipped with mitre gates - Two modes of transmission of the forces

The Ivoz-Ramet lock site has two locks equipped with mitre gates. The problems met in these 2 locks relate both to the transmission of the forces coming from the mitre gates to the sidewalls. Indeed, the transmission mode of the forces to the sidewalls depends of the orientation of the contact surfaces of the support blocks. When closed (under differential water level), the gates of the 1st lock transmit a part of the water pressure to the support blocks and the other part to the hollow quoin and the bottom sill ("mixed solution").

The gates of the 2nd lock transmit all forces to the support blocks only ("pure solution"). This means that the support blocks are oriented to take all the forces. Each concept has advantages and disadvantages, which may induce troubles.

Indeed, the mixed solution (1st lock) allows a better sealing but the large number of degrees of freedom reduces the control of the behaviour. At this 1st lock, an upper tie rod breaks (Figure 1) and the seal (glue) using to fix the neoprene on its supports does not resist, allowing the neoprene moving (Figure 2), inducing navigation interruption. Corrosion of the spring washer, small ship impacts and manufacturing defects (neoprene sealing) are at the origin of successive failures (problems).

On the other hand the pure solution (2nd lock) requires more precise adjustment and is very sensitive.



Figure 1: The "Belleville springs" elastic connexion (of the upper tie rod with the gate), which failed (cracks occurred in the springs).

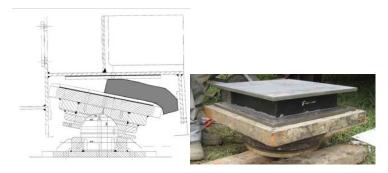


Figure 1: The lower support with the pintle (rotation around vertical axis) and a neoprene support (as in bridge) to allow heeling and a small horizontal displacement

3) Evergem Mitre Gates (BE)

<u>Speaker</u>:



Name: Jeroen VERBELEN Position: Project Engineer Administration: Waterwegen & Zeekanaal NV (W&Z) Dpt. BovenSchelde, Flanders/Belgium

<u>Short presentation</u> of the technical problem(s) which occurred, the possible reasons (causes) and how the problem(s) where solved:

(5-15 lines: Start by giving the lock name (close City, Country), lock dimension and water head and explain the problem that occurred – please use pictures and drawing)

Title: Failure and adjustment of the gate alignment, Upstream and downstream mitre gates; 2nd lock of Evergem (BE)

The new class VIb lock of Evergem, near Ghent, was inaugurated in 2009. The lock has identical pairs of steel miter gates with a single leaf dimension of 14m x 8m and weight of 90 tons. The design lock water head is 3m.

In 2014 significant problems occurred when the gates reached the closed position only under a higher force (jacks). As part of a first repair was performed in March 2014. It concerned the upper connection between the gate and the pivot, which was strengthened on all gates, after a diving inspection had pointed out that almost all bolts of the upper connection were cut off. Several theoretical calculations and field investigations were made.

In August 2014 similar problems occurred during the closing of the gates. In addition a cracked pivot and some support blocks that was torn off the wall where observed. On all gates the support blocks at the back post showed severe wear. The repair strategy was threefold. First a detailed 3D alignment survey was carried out. Subsequently a virtual geometric simulation indicated that an unhindered gate motion was not possible. Finally the alignment of the rotation axis and all support blocks and seals were adjusted on all gates.

At present the lock is in full operation. The necessity of final adjustments is under investigation. Meanwhile a lawsuit against the contractor is ongoing.



4) McAlpine and Lower Granite Mitre Gates (USA),

<u>Speaker:</u>

Name: Eric Johnson Position: Structural Engineer Administration: US Army Corps of Engineers

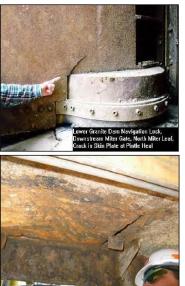
Title: Cracking of USA Mitre Gates near the Pintle (Bottom Pivot)

In the USA, there has been widespread problems with miter gates cracking near the pintle (bottom pivot) of the gate. The main cause is lack of bearing contact of the gate-to-wall contact blocks. When contact is lost, the large hydrostatic forces acting on the gate are transferred horizontally along the gate through the main framing beams into the pintle (pivot) instead of into the wall bearing area. This causes large localized stresses in the pintle region which when coupled with poor material fracture toughness and poor geometrical detailing leads to cracks.

In 1998, cracks were discovered in the skin plates and bottom girders of both gate leaves at the Lower Granite Lock on the Snake River in the state of Washington (See figs on the right). A variety of repair techniques were used to get the gate back into operation.

In 2004, in the McAlpine Lock on the Ohio River cracks were found, which lead to emergency repairs and temporary lock closure. The lock gates were repaired using large patch plates (See Fig bottom left.





5) Poe Lock at Soo Locks Complex (USA)

<u>Speaker:</u>

Name: Frederick Joers Position: Director, Inland Navigation Design Center Administration: US Army Corps of Engineers



Title: Cracking of Mitre Gate Embedded Anchorage (Top Hinge)

The Soo Locks are located on the St. Marys River at Sault St. Marie, Michigan, on the international border with Canada. There are two operating locks at the Soo, the MacArthur Lock, (1943) and the Poe Lock (1968). They are critical locks since half of all steel produced

in the U.S. is manufactured with domestically mined ore and over 92 % of the iron ore mined in the U.S. traverses through the Soo Locks.

The miter gate embedded anchorages were being studied as part of a Rehabilitation Report. An analysis revealed a potential near-term risk of failure in the upper region of the embedded anchorage. The study concluded that Embedded Anchorages exceeded their life expectance and would likely perform until they experience a "SUNNY DAY" brittle failure with little to no outward signs of distress or warning – resulting in mitre gate collapse.

Based on the study findings, concrete was removed to uncover and inspect the anchorages. Inspection found cracks where the analysis predicted. All the embedded anchorages at the lock were rehabilitated to keep lock in good operation condition.



Rijkswaterstaat

6) Maasbracht Lock Complex (The Netherlands)

<u>Speaker</u>:

Name: Jos Vorstenbosch Position: Senior Consultant Administration: Rijkswaterstaat

Title: Maasbracht Lock Gates

The Maasbracht Locks (Sluizencomplex Maasbracht) located on the Juliana Canal in the Netherlands. A variety of interesting issues will be discussed including hinge design using FRP, anchorage failure, fatigue design of welded connections, and contact blocks using FRP.



PIANC INLAND NAVIGATION COMMISSION (INCOM)

WG154 – MITER GATES

WORSHOP 6TH AND 7TH NOV 2017



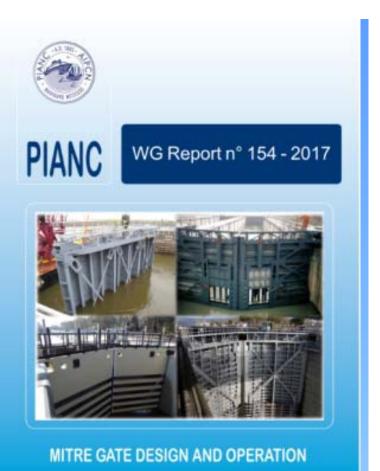
PHILIPPE RIGO (Prof Ulg, BE) INCOM CHAIRMAN



WG 154 Mitre Gate Design and Operation

(2017)

Chair F JOERS, USACE, USA



The World Association for Waterborne Transport Infrastructure

InCom WGs on Navigation Locks

State of Arts in Navigation Locks PIANC proposes a series of integrated reports

- PIANC Report on Locks 1986 (state of art)
- WG 106 Innovations in Navigation Lock Design (2009)
- WG 151 Impacts of seismic loads and ship impact on lock gates
- WG 154 Miter Gate Design and Operation
- WG 155 Ship behavior in locks and lock approaches
- WG 173 Movable Bridges and Rolling Gates
- WG 189 Fatigue of Hydraulic Steel Structures
- WG 190 Corrosion Protection of Lock Equipment
- WG 191 Composites for Hydraulic Structures
- WG 192 Automation and remote operation of locks and bridges
- WG 198 Saltwater Intrusion Mitigations and Technologies for Inland Waterways
- WG 199 Health Monitoring for Port and Waterway Structures

PIANC Congress Panama , May 2018



- Sustainable Inland Waterways (Values of IW)
- Innovations in Lock and Gate Design
- Rolling Gates and Operational Machinery Process Design
- Salt Water Intrusion Mitigation Techniques
- Inflatable Structures in Hydraulic Engineering





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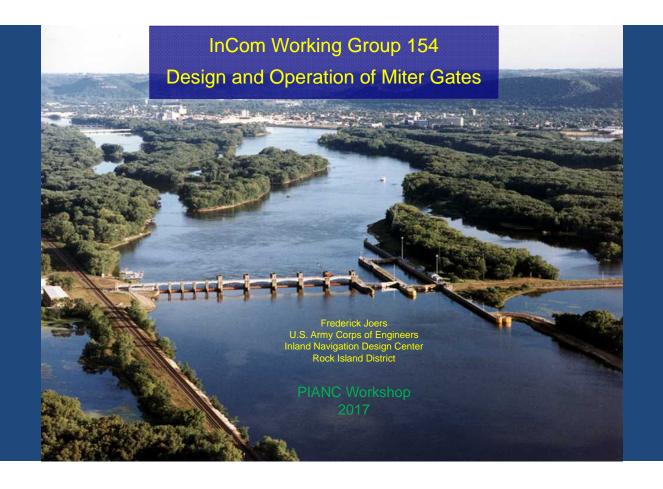
Latest Events

o -

Presentations - Workshop INCOME ECLAC ANTAQ 34 October 1916 ■ EVENT8 PIANC -ECLAC-ANTAQ WORKSHOP - COPEDEC 2016 34 October 2015 ■ EVENT8

InCom (Inland Navigation Commission) is one of the 4 international technical commissions of PIANC.

The InCom Commission focusses on Inland Navigation, Inland Waterways, River and Port Infrastructures, Inland Waterway transport and Logistics, ... Contact : Prof Ph RIGO., Univ of Liege, InCom Chairman; Tel: +32 4 366 93 66 (office), or use the CONTACT FORM in the Menu Resources



Working Group 154 Terms of Reference

- The objective for the working group was to identifying "Best Practices" for the design, fabrication, and operation of lock mitre gates
- The goal for this working group was to create a compilation of mitre gate designs that discusses the benefits and disadvantages and makes recommendations for typical sized low, medium and high head mitre gates.
- This group will build upon the information provided by WG 29 Innovative Lock Design, WG 129 Asset Management, WG151 Impacts of Seismic Loads and Ship Impact on Lock Gates and WG 138 M&E Lessons Learnt.

Members Working Group 154

Mr. Andrew Bator	Member	Canadian St. Lawrence Seaway Management Corp.
Mr. John Clarkson	Member & InCom Rep.	U.S. Army Corps of Engineers
Mr. Yvan Cordier	Member	Voies Navigables de France
Dr. Richard Daniel	Member	RADAR Structural, The Netherlands
Mr. Thomas Hood	Member	U.S. Army Corps of Engineers
Mr. Michael Hough	Member & YP	Tetra Tech (USA)
Mr. Frederick Joers	Chairman	U.S. Army Corps of Engineers
Mr. Eric Johnson	Member & YP	U.S. Army Corps of Engineers
Mr. Jos Vorstenbosch	Member	Rijkswaterstaat, The Netherlands
Mr. Joshua Repp	corresponding member, major contributor	Bergman Associates (USA)
Mr. Juan Ollero	corresponding member	INROS LACKNER AG (Germany)
Mr Joris Meersschaert	Part time member	SBE (Belgium)

Working Group 154

Meetings:

September 2013 Maastricht, Netherlands February 2014 Brussels, Belgium September 2014 St. Catharines, Canada April 2015 Bremen, Germany November 2015 Paris, France November 2016 Seattle, USA + conference calls/ E-mail / SharePoint website/small group meetings

Working Group 154 Design and Operation of Miter Gates

This report gives an introduction, history, and terminology distinct to mitre gates. General design aspects, advantages, disadvantages of mitre gates and other types of lock gates are discussed to aid in selection of type of lock gate used. Mitre gate design guidance from around the world is listed for comparison and aid to designers. This report provides guidance and outlines potential issues that may be encountered during the design phase of a new mitre gate. In addition to outlining general guidance, an awareness is raised of both normal and unexpected design conditions that can alter design approach, methodology, and detailing of gate features.

Working Group 154 Design and Operation of Miter Gates

Mitre gates have a long history of use with little modification to their historic geometric shape and have been adapted for many uses in navigation. There are a wide variety of conditions that mitre gates are used in with a wide variation of heights and widths. These site-specific conditions pose different advantages and disadvantages which are discussed in more detail in the report chapters. More general conclusions and recommendations are discussed at the end of the report.

Design and Operation of Miter Gates Report

1 MITRE GATE SYSTEMS

Gate Selection Process / Short History / Geometry of Gates and Recesses / Free, floating and fixed hinged systems / Horizontally and vertically framed systems / Drive Systems and connections

2 MITRE GATE COMPONENTS

Framing / Buoyancy Chambers / Top Hinge / Anchorages / Pintles / Heel (Quoin) and Mitre Blocks / Lock Filling and Emptying Valves / Diagonals / Struts / Drive Systems / Operating System Connections / Gate Controls

3 MITRE GATE DESIGN ISSUES AND GUIDANCE

Design Guides /General Load Conditions / Design and Analysis Methods / Fatigue / Connections / Bolted Mitre Gates / Flexibility/Stiffness of the Leafs and Structure / Gate Recess Design / High Lift Lock Mitre Gates

Design and Operation of Miter Gates Report

4 GATE and COMPONENT MATERIALS

- Materials for Structural Framing / Corrosion protection / Contact Materials / Seal Materials / Hinge Materials / Self-lubricating Fiber reinforced polymers / Sliding Materials / Bolts, nuts, and rivets / Anchorages in concrete / Ship arrestors
- 5 MITRE GATE SEALS
- Seal type/ bottom seals/ side seals/ contact surface

6 ANCILLARY COMPONENTS

 Walkways and Access / Lubrication / Mitre Guide and Alignment Features / Impact Protection Systems

Design and Operation of Miter Gates Report

7 OPERATIONS AND MAINTENANCE

• Spare Parts / Emergency Parts / Spare Gates / Standardization of Replacement Parts / Methods of Repair / Ice and Debris Management / Inspections / Structural Heath Monitoring/Cranes

8 CONCLUSIONS

• Recommendations Related to Design, Geometry and Framing/Recommendations related to analysis/Recommendations Related to Materials and Maintenance

9 **REFERENCES**

APPENDIX A: Mitre Gate Operation Speed APPENDIX B: Measure Leakage Rates APPENDIX C: Cranes for Installation APPENDIX D: Design Loads Guidance



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Dr. ir. Ryszard A. Daniël senior consultant r.a.daniel@xs4all.nl

Wintrich Lock, Germany

PIANC Workshop "Miter Gates Design and Operation", PIANC Headquarters, Brussels, November 6, 2017



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1

Personal note ...

CONTENTS:

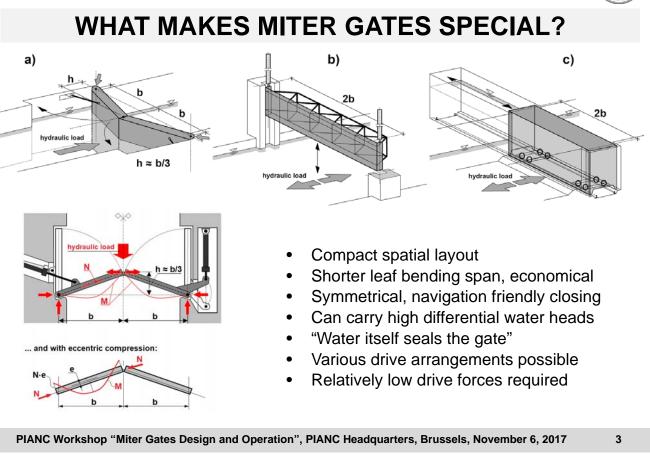
- What makes miter gates special
 - Basic concept, short history
 - Advantages and disadvantages
- Gate structural systems, classification
 - Systems in view of hydraulic load transfer - Overview, focus on hinges, pintles and quoins
 - Examples, crucial details
- Systems in view of main girder direction

 Horizontally and vertically framed gates
 American and European views, the same or different
- Skin plate arrangements as a system criterion
 - Possible skin plate arrangements
 - Impact of uplift loads in European gates
- Systems in view of vertical load transfer
 Overview, focus on pintle issues
 - Recent views and developments
- Systems in view of drive connection
- Concluding remarks











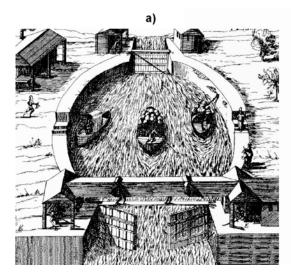
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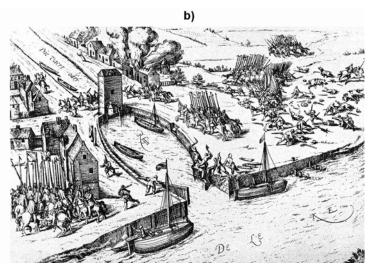


HOW IT ALL BEGAN ... (1)

Bertola da Novate or Leonardo da Vinci ?



Early Italian lock with a miter gate (after R. Pohl, Dresden University of Technology)



Netherlands' Vreeswijk Lock in XVI century (after G.J. Arends, Delft University of Technology)

4

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HOW IT ALL BEGAN ... (2)

Development in XIX and XX century



Old Panama Canal lock gates (here Crystal Serenity in Miraflores Locks) Bristol Portbury Lock gate (42.7 m) (photo F. de Crescenzo)

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5

ADVANTAGES AND DISADVANTAGES

Advantages	Disadvantages		
 The most frequently used type of gate – well-proven technology Sustainable principle of operation: "water head itself fixes and seals the gate" Many different structural systems possible – nearly all in proven technology Construction and maintenance costs low or moderate in a wide range of dimensions Gate recesses along the lock chamber → small space consumption No limit to overhead space for navigation → fit for locking ships of any height Opening and closing times low or moderate Can be constructed with entirely free lock deck – valued for special transports, mooring of large ships, emergencies, etc. Symmetric flow patterns during opening and closing – favored by navigation Filling and emptying devices easy to fit to gate and accessible for small maintenance Less vulnerable to sediment and sunk obstacles than rolling gates (but care required) In double-sided service, lock crowns can be shorter than for double sets of miter gates Relatively easy in transport and installation due to compact dimensions of components 	 Single-sided operation, although low reverse loads can be carried under some provisions Double gates required when high water heads can occur from 		
 Architectural advantage of free horizon 	debris can present a problem		





GATE SYSTEMS - CLASSIFICATION

"EUROPEAN" VIEW:

• Character of hydraulic load transfer:

- a) free hinged (load transfer through heel posts)
- b) floating pintle (load transfer through heel posts)
- c) fixed hinged (load transfer through hinges)

Direction of main girders:

- d) horizontally framed
- e) vertically framed
- Arrangements for skin plate location:
 - f) plate girders with skin upstream
 - g) skin plate double-sided
 - h) plate girders with skin downstream
 - i) fold plate and other systems

• Arrangements for vertical load transfer:

- j) bottom pintle top hinge
- k) bottom hinge top pintle
- I) support or suspension outside hinges
- m) buoyancy tanks
- Drive connection:
 - n) direct to (top) girder
 - o) indirect through drive arm

The focus in all the structural systems (a) through (o) is put on the properties of the gate structure and not of its drive mechanism. When drive mechanisms are concerned, the following systems be identified:

Drive mechanism:

- p) manually driven (directly or geared)
 - q) electro-mechanically driven
 - r) electro-hydraulically driven
 - s) hydrostatically or otherwise driven

"AMERICAN" VIEW:



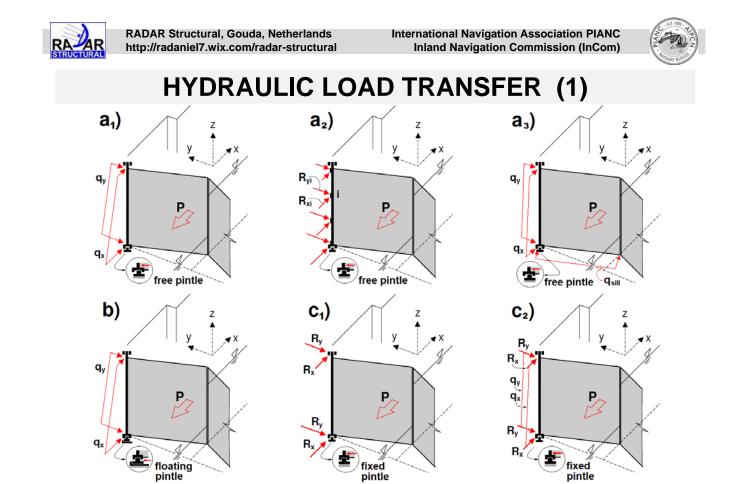
Ohio River Lock 2



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Ohio River Lock 52

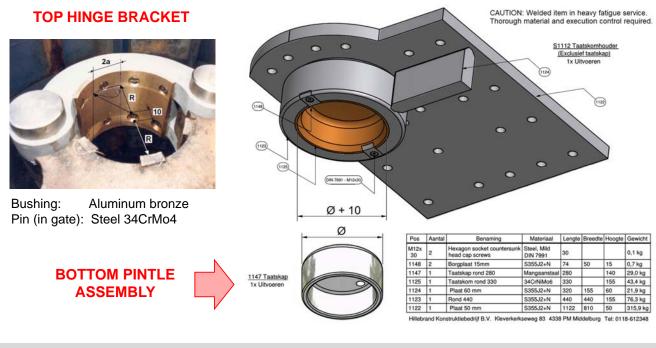
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HYDRAULIC LOAD TRANSFER (2)

European free hinged gates, typical hinge assemblies:



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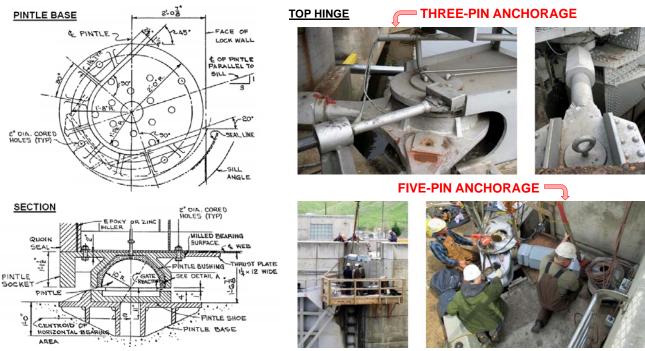
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9

HYDRAULIC LOAD TRANSFER (3)

American floating pintle gates, typical hinge assemblies:





HYDRAULIC LOAD TRANSFER (4)

2nd Lock Evergem, Belgium:

Heel post (quoin) compression:





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11



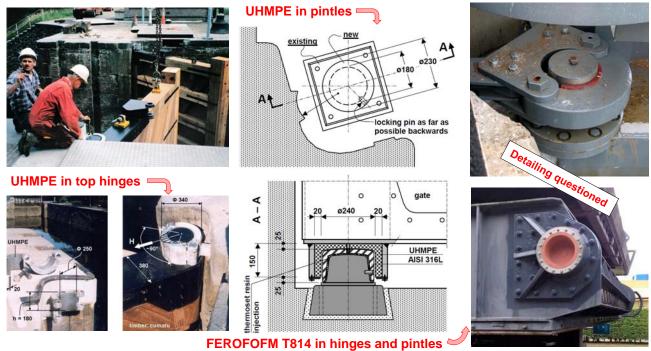
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HYDRAULIC LOAD TRANSFER (5)

Free hinged gates, new contact materials:

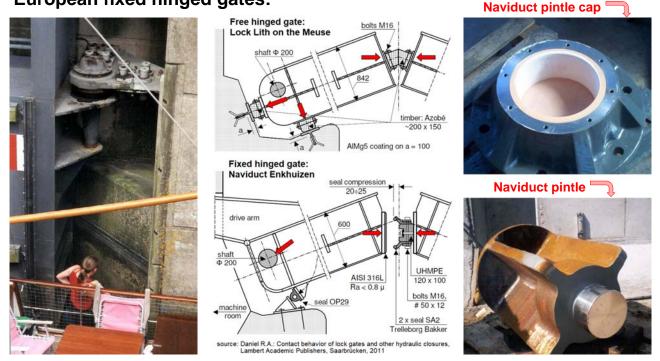




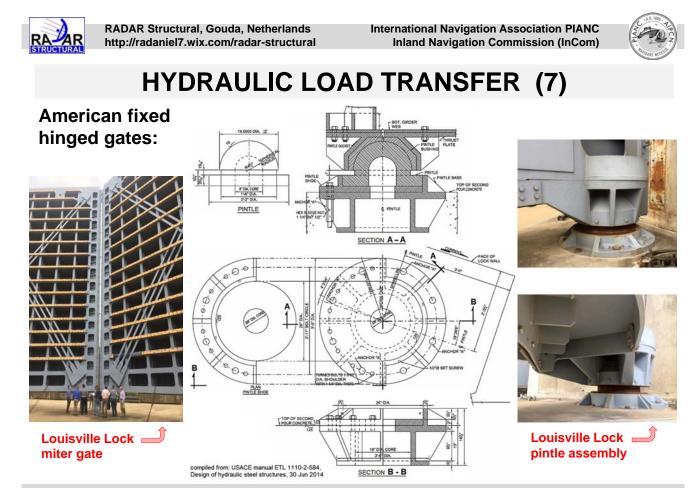
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HYDRAULIC LOAD TRANSFER (6)

European fixed hinged gates:



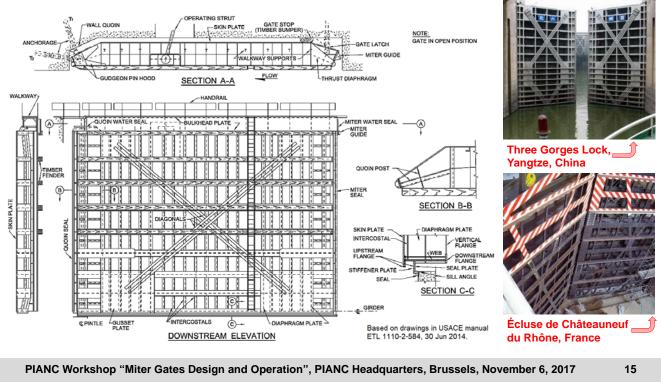
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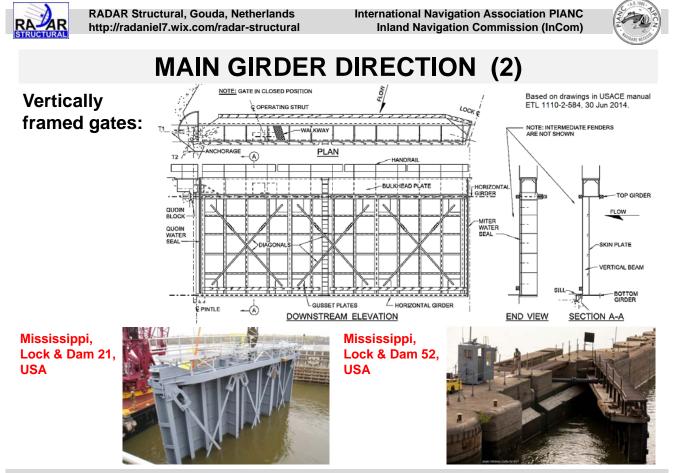




MAIN GIRDER DIRECTION (1)

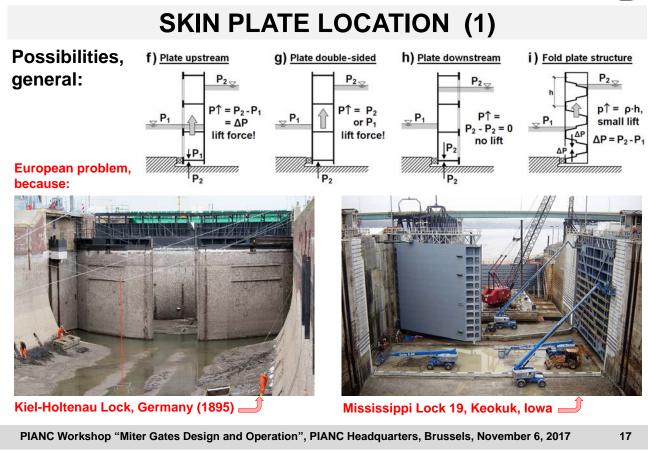
Horizontally framed gates:

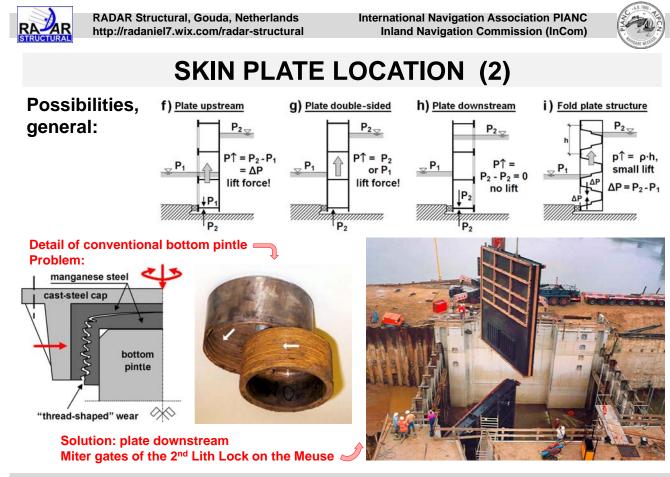




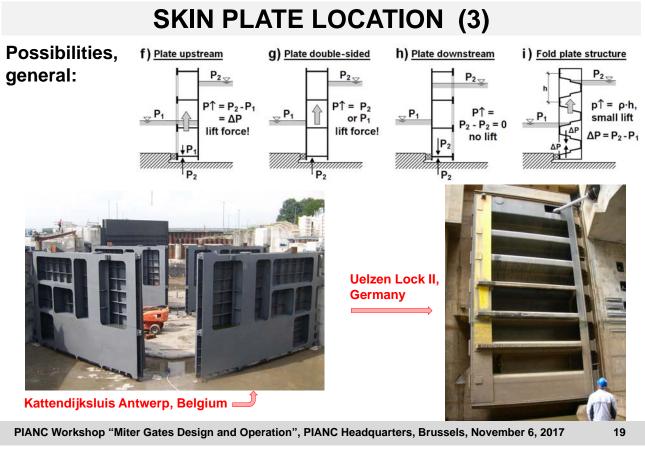
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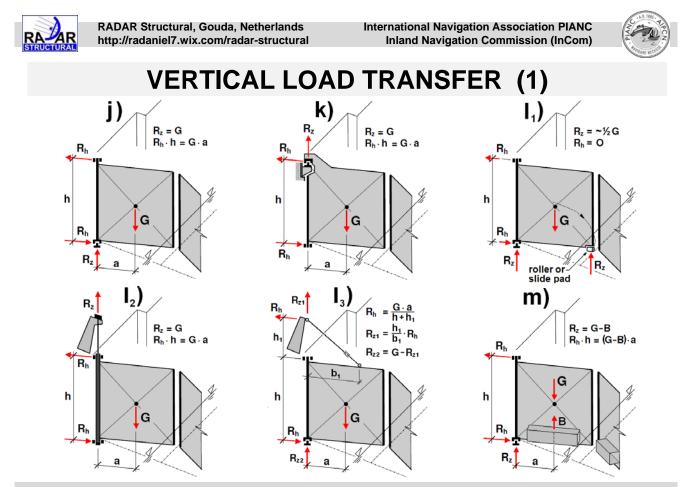








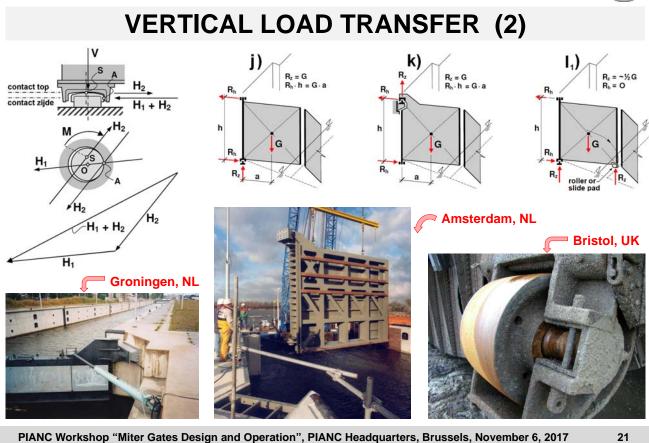


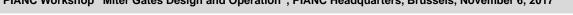


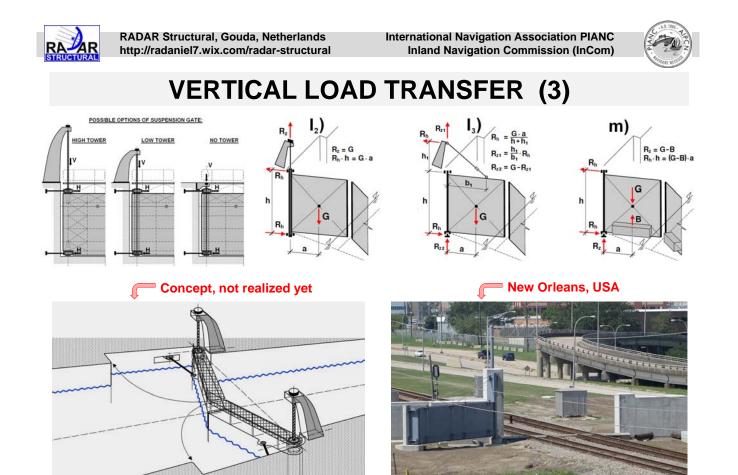


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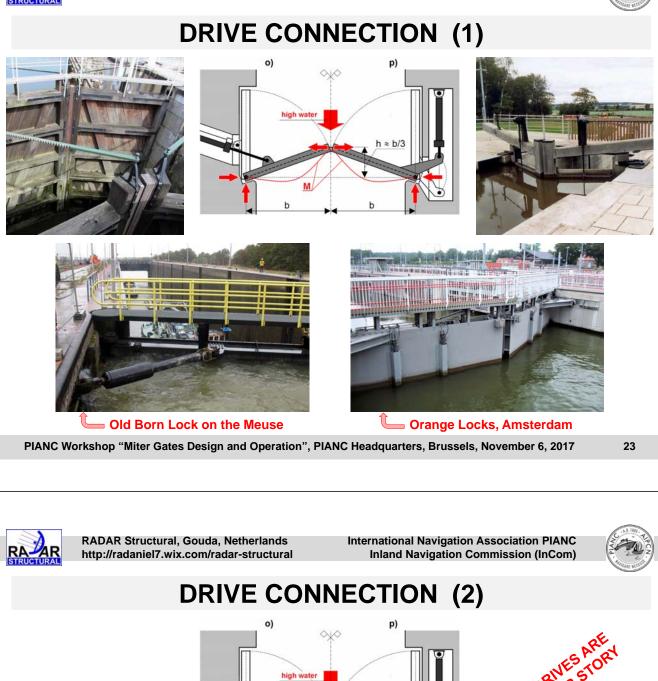


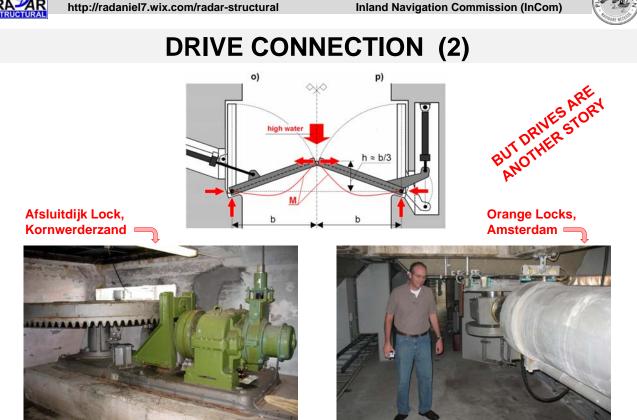




International Navigation Association PIANC Inland Navigation Commission (InCom)













PIANC Workshop "Miter Gates Design and Operation", PIANC Headquarters, Brussels, November 6, 2017





Mitre Gate Design

SSOCIA

Prepared by:

Josh Repp, P.E. BERGMANN

Report Overview

Part 1 (morning):

- **1.** Mitre Gate Systems
- **2.** Mitre Gate Components
- **3.** Mitre Gate Design Issues and Guidance

Part 2 (afternoon):

- 4. Gate and Component Materials
- 5. Mitre Gate Seals
- 6. Ancillary Components
- **7.** Operations and Maintenance
- 8. Conclusions
- 9. References







MITRE GATE DESIGN AND OPERATION



Chapter 1: Systems

• Goals:

- Identify History
- Terminology
- Selection Process
- Geometry
- Structural System

Chapter 1: Gate Selection Process

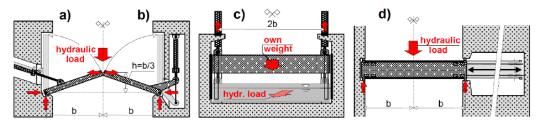
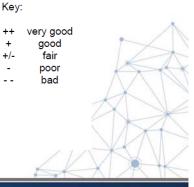


Figure 1-5: Four gate types for the Princess Moaxima Lock in Lith

Criterion	Gate Type				
	Mitre Gate (a)	Mitre Gate (b)	Vertical Gate (c)	Rolling Gate (d)	
1. Total costs	+	+/-	+		
2. Reliability	+	+	++	+	
3. Navigation	+	++	-	+/-	
4. Maintenance	+/-	+	+	-	
5. Environment	+	+	+	-	
6. Aesthetics	++	+		+/-	
Total score	+	+	+/-	-	



Chapter 1: Gate Selection Process (example)

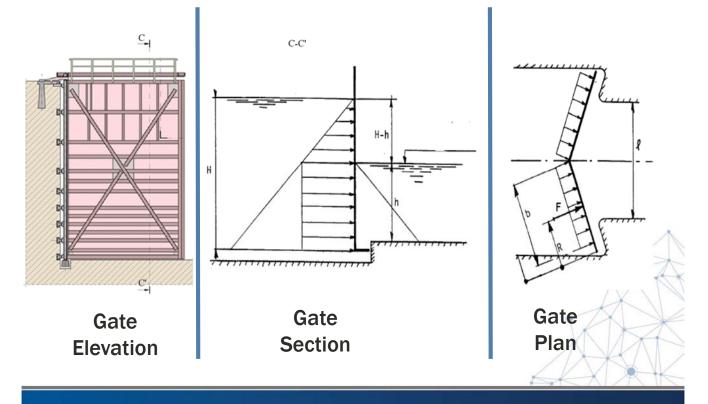


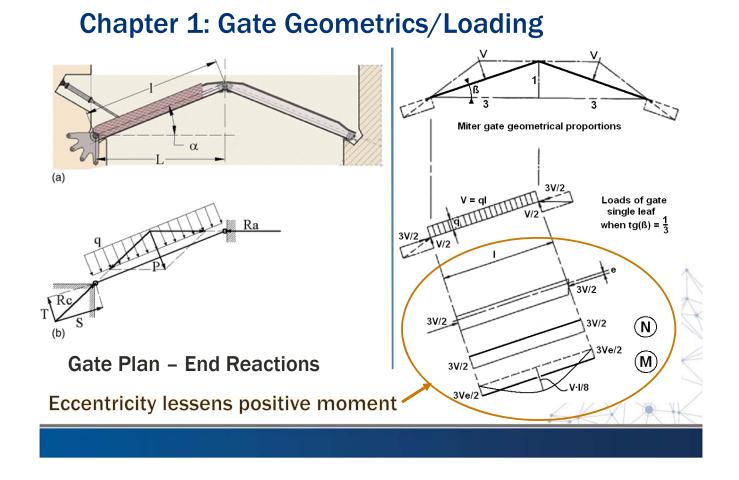
Figure 1-6 Four gate types considered for the Naviduct in Enkhuizen

Criterion	Woight	Gate Type			
	Weight Factor	Mitre Gate (a)	Mitre Gate (b)	Vertical Gate (c)	Rolling Gate (d)
1. Total costs	.40	8	9	6	6
2. Operation	.35	9	8	8	7
3. Navigation	.10	8	7	8	7
4. Maintenance	.10	8	7	8	6
5. Environment	.05	7	7	6	7
Total score	1.00	8.30	8.15	7.10	6.50

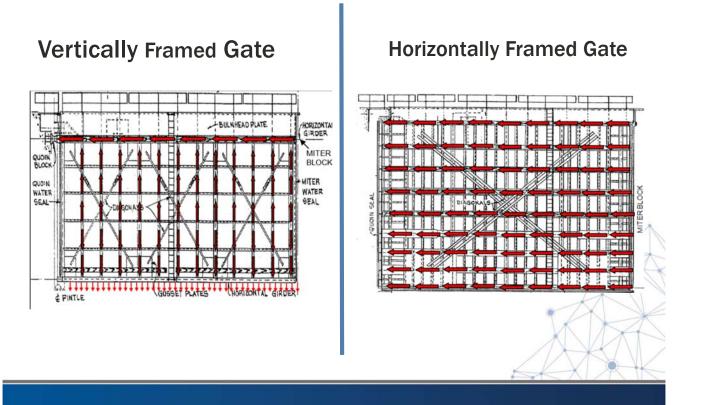
Table 1-2 Gate assessment in weighted criteria for the Naviduct in Enkhuizen

Chapter 1: Gate Geometrics/Loading





Chapter 1: Gate Framing Types

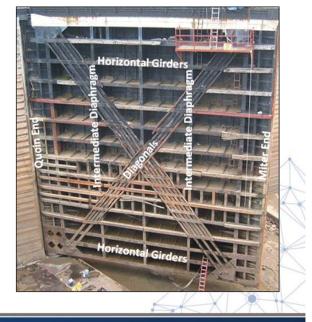


Chapter 1: Gate Framing Types

Vertically Framed Gate



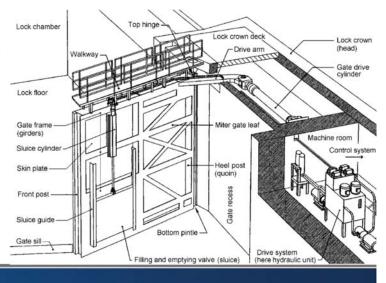
Horizontally Framed Gate



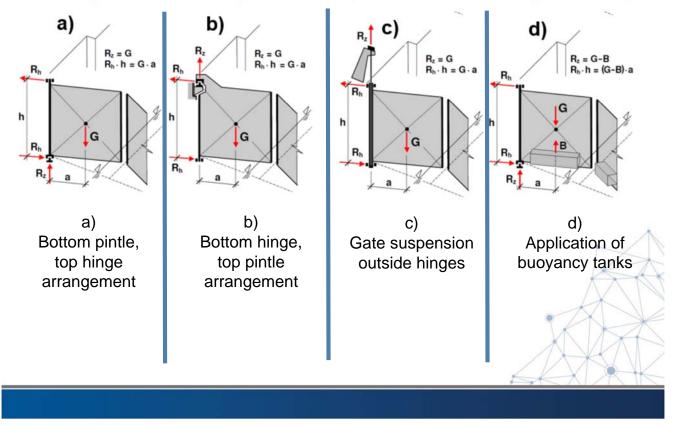
Chapter 2: Components

Goals:

- Structural Arrangements
- Hinges / Gudgeon / Pintles (Pivots)
- Anchorage
- Heel (Quoin) / Mitre Blocks
- Diagonals
- Operating Machinery
- Control System



Chapter 2: Components (Structural Arrangements)



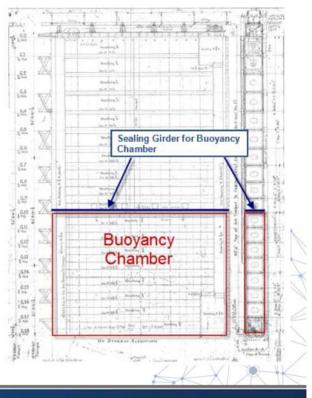
Chapter 2: Components (Buoyancy Chamber)

Advantages:

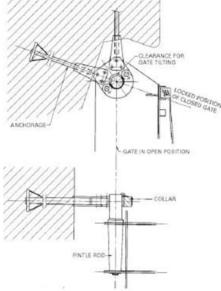
- Effectively lessens gate weight during operation.
- Less wear on support components.

Disadvantages:

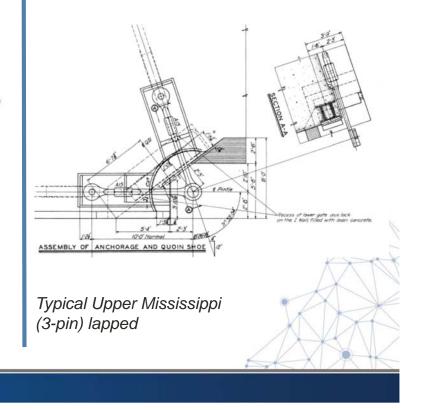
- Difficult to inspect inside surfaces.
- Need to maintain sealed condition (can't leak).

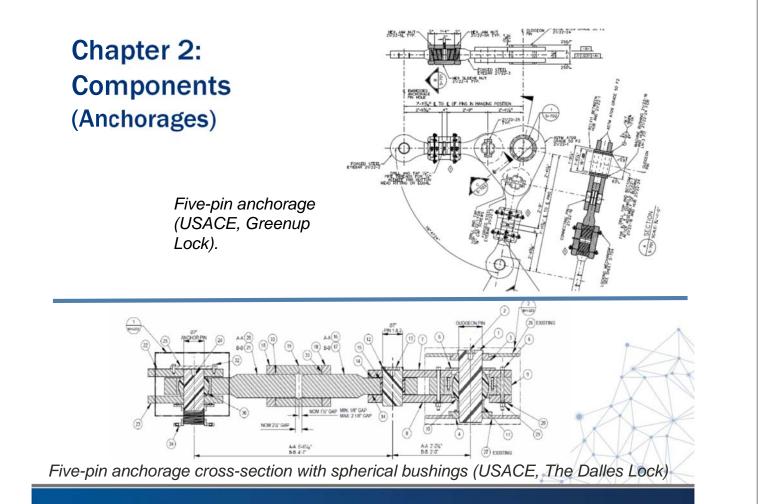


Chapter 2: Components (Anchorages)



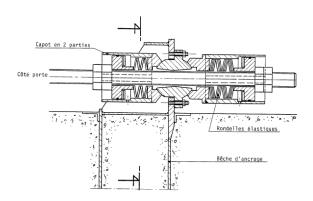
Top hinge design typical in Europe (ROK Design of Locks – Part 1) Figure 2-





Chapter 2: Components (Anchorages)

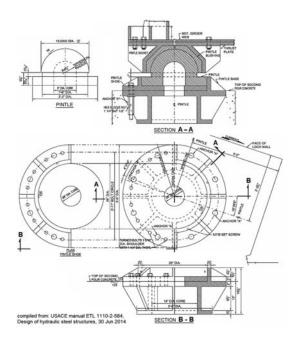
Belleville Springs: Spring-loaded anchorage linkage

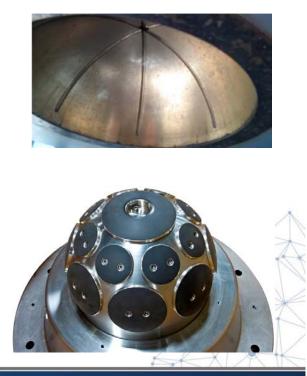




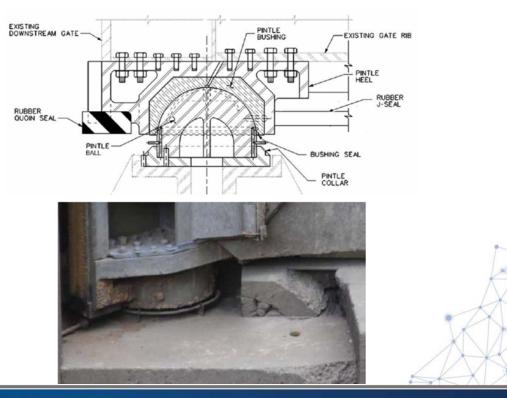
- Preferred in France.
- Allow the gates to hang and swing with a clearance in the heels (quoins) and then when a head builds up on the gates, the springs compress in the linkages allowing the heel (quoin) to make contact.

Chapter 2: Components (Pintles)

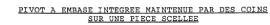


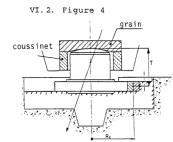


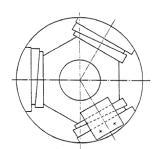
Chapter 2: Components (Pintles)



Chapter 2: Components (Pintles)







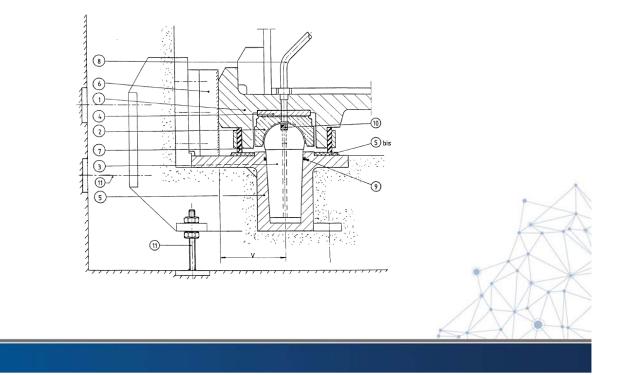
Inconvénients

- Nombreuses interfaces
 Précontrainte par coins insuffisante
 Problèmes d'instabilité fréquents Lorsque la direction de F_R s'incline : efforts de manoeuvre élevés, frottement important sur le grain lors du buscage. La résultante sort du noyau central de l'appui, entraînant des efforts de soulèvement des coins.
 Les efforts alternés finissent également par desserrer les coins
 Maintenance très difficile, resserrage sous l'eau impossible

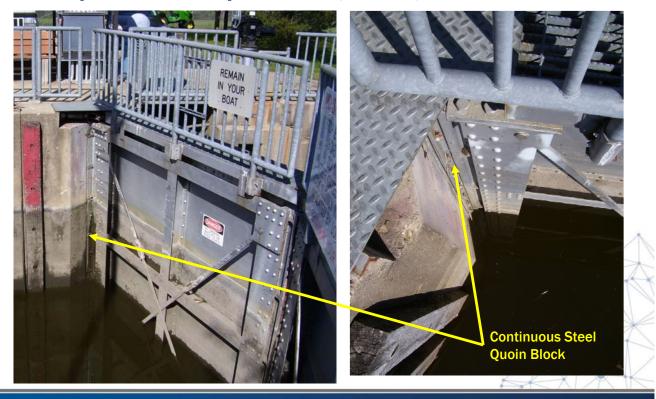
Avantage unique

Permet de régler la position du pivot au montage

Chapter 2: Components (Pintles)

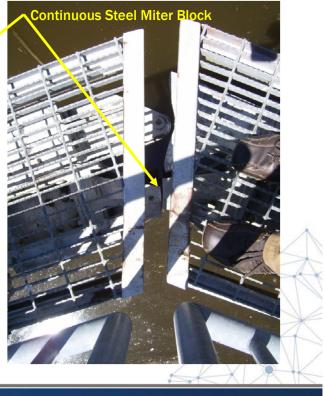


Chapter 2: Components (Blocks)

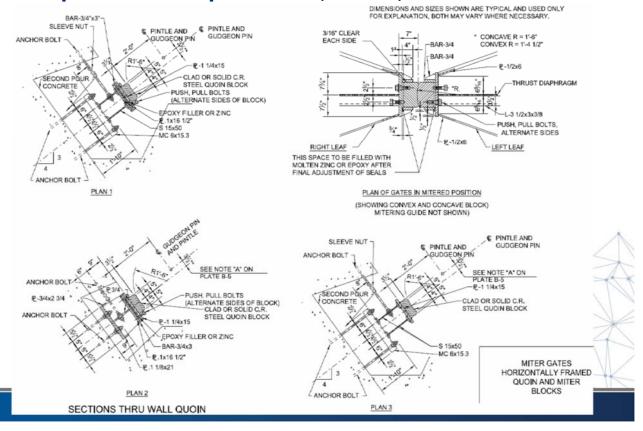


Chapter 2: Components (Blocks)





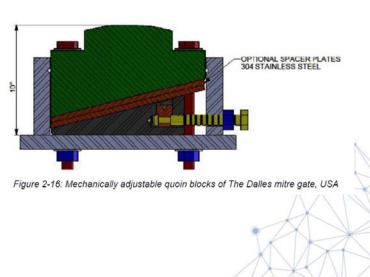
Chapter 2: Components (Blocks)



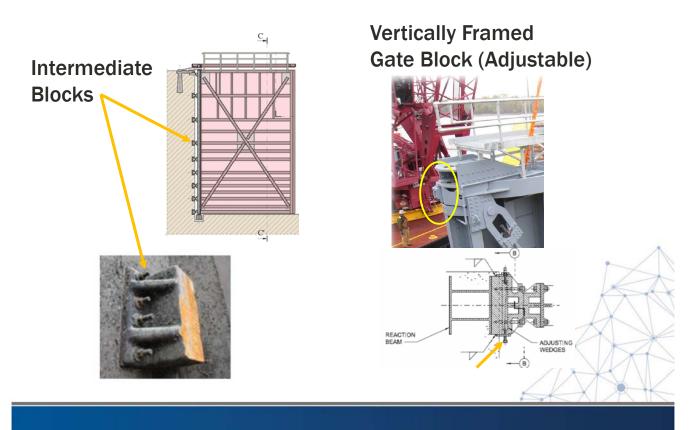
Chapter 2: Components (Quoin Blocks)

Contact blocks need good alignment and adjustability





Chapter 2: Quoin Blocks



Chapter 2: Quoin Blocks

Timber Quoin (Flight of Five – Erie Canal)



Chapter 2: Quoin Blocks

Timber Quoin (Flight of Five – Erie Canal)





Chapter 2: Quoin Blocks

Timber Quoin (Flight of Five – Erie Canal)

Challenge

- ≻New Gate Fabrication
- Surface of quoin is not uniform

Solution

≻Core quoin



Chapter 2: Quoin Blocks

Timber Quoin (Kentucky River Locks, USA)



Chapter 2: Quoin Blocks

Timber Quoin (Kentucky River Locks, USA)



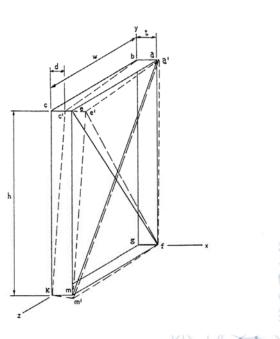
Chapter 2: Components (Diagonals)

Advantages:

- Keep Gate Plumb.
- Better Alignment at Contact Surfaces.
- Improved Stiffness During Operation.

Disadvantages:

- Difficult to Adjust.
- Impact Protection is Needed.
- Can be a Source of Cracking.



Chapter 2: Components (Diagonals)



Chapter 2: Components (Diagonals)



Chapter 2: Components (Diagonals)



Figure 2-17: Diagonal with threaded ends and large nut (115-mm diameter) and with hydraulic tensioner attached (right)

Chapter 2: Components (Diagonals)



Figure 2-18: Multiple nut tensioning mechanism using multiple stud tensioners

Chapter 2: Components (Diagonals)



Chapter 3: Design Issues and Guidance

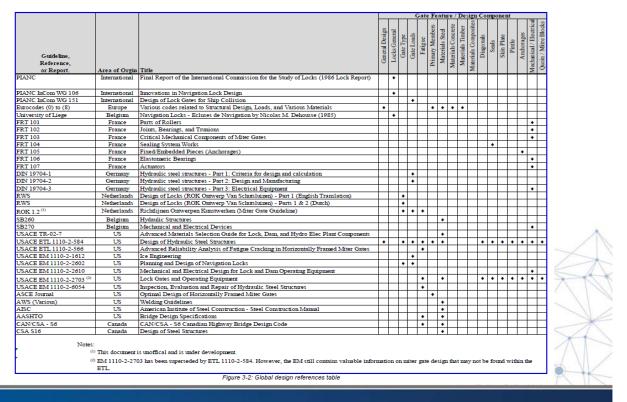
- Goals:
 - Global Design Guidance
 - Design Load Conditions
 - Fatigue
 - Connections
 - Flexibility / Stiffness
 - Gate Recess Design
 - High Lift Lock Gates







Chapter 3: Design Issues and Guidance



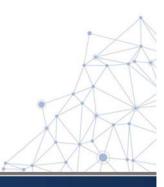
Chapter 3: Design Issues and Guidance

Load Considerations (Usual and Unusual Conditions)

- Impact Loads (PIANC WG 151)
- Environmental Load (Waves, Ice, Silt, Obstructions)
- Construction
- Load Redistributions with Worn / Misaligned Gates

Analysis Considerations

- 2D vs. 3D Modeling
- Fatigue
- Connections
- High Lift Locks



Chapter 3: Design Issues and Guidance

			Loads/Load Factors							
Load Cases			D	G	H.	H _d	Q	EV	IM	EQ
Limit State	Description	Case	γ _D	γ _G	γ _{Hs}	γ _{Hd}	γq	γev	γīm	ΎEQ
Strength I	Gate Closed	1a	0	0	1.4	0	0	0	0	0
Strength I	Gate Closed	1b	0	0	1.4	1.0	0	0	0	0
Strength II	Gate Open	2	1.2	1.6	0	1.0(1)	1.2(1)	0	0	0
Extreme I	Gate Closed	3	0	0	1.4	0	0	0	1.0 ⁽¹⁾	1.0(1
Fatigue I	Finite Life	4a	0	0	1.0	1.0	0	0	0	0
Fatigue II	Infinite Life	4b	0	0	1.0	1.0	0	0	0	0
	ect one at a time	40	0	0	1.0	1.0	0	0	0	_

D = *D*ead Load (self-weight);

G = Gravity Load (mud or ice);

*H*_s = *Hydrostatic Force;*

 $H_{a} = Hydrodynamic;$

Q = Operating Machinery (max load);

EV = Wind (not applicable in table);

IM = Barge Impact (or vessel impact);

EQ = Earthquake.

Chapter 3: Design Issues and Guidance

Analysis Type	Advantage	Disadvantage
2-D Modeling	 Accomplished in less time given its simplicity. Is easier to check and verify accuracy of results. Generally accurate for determining stresses in primary members. 	 Poor in identifying stresses at connection areas (girder to quoin, pintle forces on gate, load from diagonals). Lacks ability to evaluate misalignments or other anomalies that could result in redistribution of stresses. May also lack ability to evaluate stresses from unusual loading, since stresses are not easily redistributed between members (e.g. impact or gate obstruction cases)
3-D Modeling	 Provides ability to size various members in one analysis (girder, skin plate, and quoin/mitre blocks). Better ability to predict stresses for unique or unusual loading. Provides more accuracy of stresses in connection areas, particularly for fatigue resistance design. Checks for fabrication fit-up and interference 	 Can require a lot of effort depending on the detail of the model and loading. Verifying results (checking) can be more difficult and timely. Comparing results with a simplified 2-D model or other hand calculations must be performed to confirm accuracy of modelling.

Table 3-1: Analysis methods

Chapter 3: Design Issues and Guidance

G = Gravity Load (mud or ice);

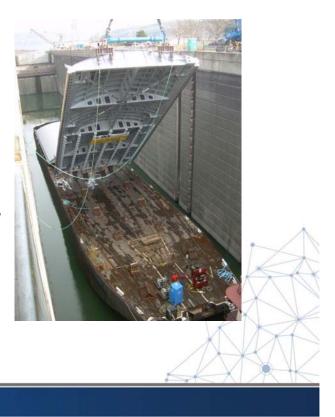


Chapter 3: Design Issues and Guidance

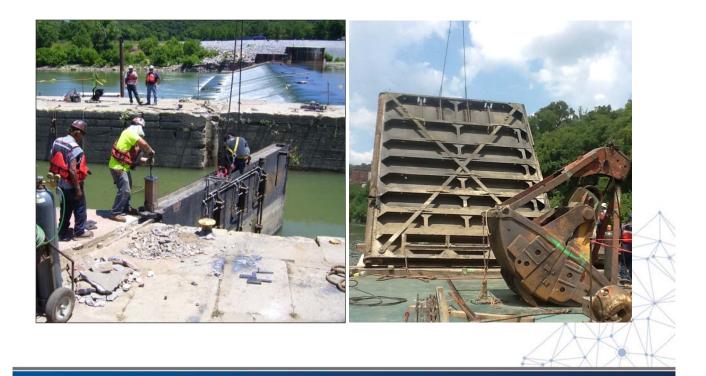


Gate Removal and Installation:

- Consider Lifting Points
- Consider Gate Stresses During Lifting



Chapter 3: Construction and Maintenance Loads





Chapter 3: Construction and Maintenance Loads





Chapter 3: Construction and Maintenance Loads





Chapter 3: Bolting vs. Welding (Repair)



Chapter 3: Repair Access

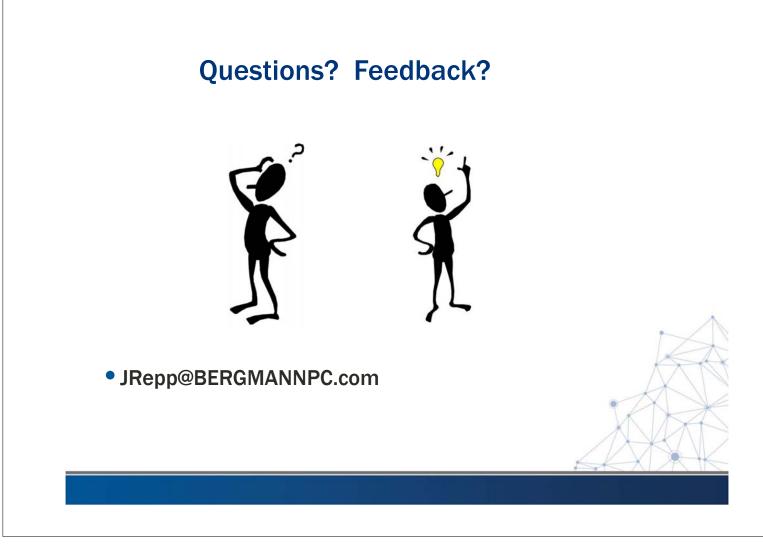


Chapter 3: High Lift Gates

Considerations

- Increased sensitively to gate tolerances and alignment
- Temperature (solar loading)
- Constructability
 - Crane access
 - Lifting points
- 3D modeling







Rijkswaterstaat Ministerie van Verkeer en Waterstaat



MITRE GATE DESIGN AND OPERATION

The World Association for Waterborne Transport Infrastructure



INCOM PIANC Working Group 154

MATERIALS AND FRP COMPOSITES in Mitre Gates

Jos Vorstenbosch, Brussels, November 6 th 2017



Steel:



Structural framing and Machinery Protection



Corrosion fatigue on 12% Mn steel Pivot



High Strength Steel (YS > 360 MPa) only for Mooring and Impact protection



Steel:



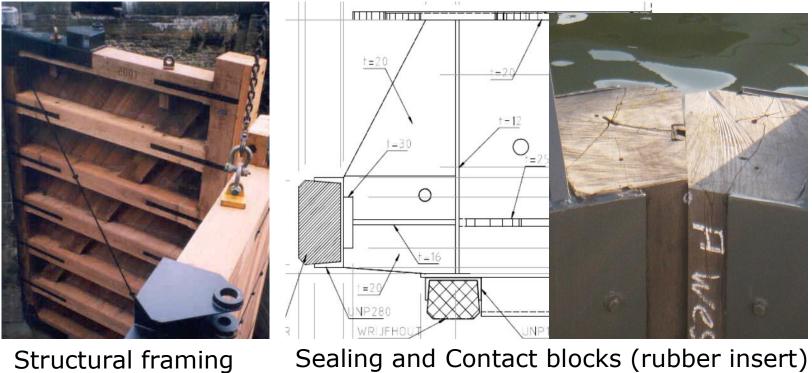
Anchorage failure due to low ductility of Steel (YS < 1000 MPa is recommended)

Quoin Miter DOWNSTREAM

Contact blocks with Rubber Seals



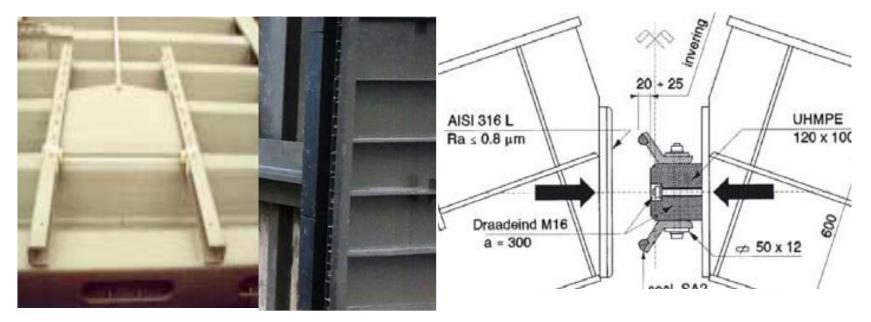
Timber:



Sealing and Contact blocks (rubber insert)



Polymers:



UHMWPE Sliding blocks on Stainless steel

UHMWPE Contact blocks on Stainless steel



Fiber Reinforced Polymer Composites:



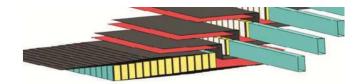


Structural framing and Walkways



World's largest Fiber Reinforced Polymer composite Mitre Gates





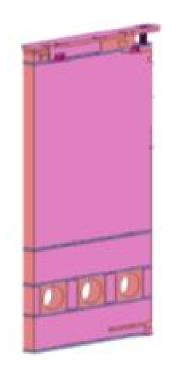
Monolitic sandwich with PU foam, Glass fibers and Poly ester resin.



Leaf dimension 12 m x 9 m x 0,5 m

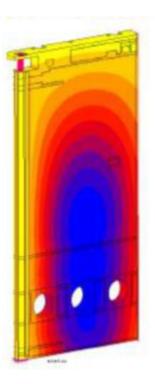


World's largest Fiber Reinforced Polymer composite Mitre Gates



Maximum calculated deflection SLS including creep, humidity and fatigue after 100 years:

- Hinges (bottom Pintle) 4.0 mm
 Gate paddles (center) 1.4 mm
 Heel post (quoin block) 1.3 DEG
- The post (quoin block) 1.5 DL
- •Sill (leaf center) 14 mm





Fiber Reinforced Polymer Composites:





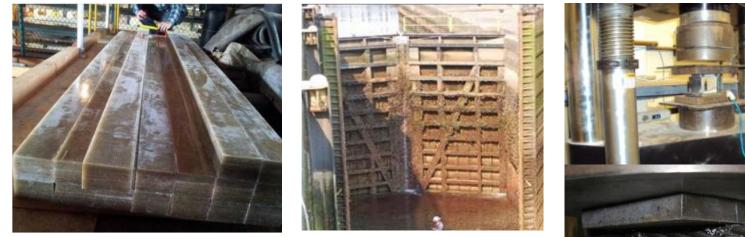




De-lamination hazard



Fiber Reinforced Polymer Composites:



Fiber Reinforced Polymer contact blocks

Fatigue and pinching test



Conclusions:

Polymers and Fiber Reinforced Polymer Composites in Mitre Gates have been be used for:

- Structural framing
- Hinges
- Contact blocks
- Sliding blocks



Full scale testing on strength, wear, aging and fatigue is recommended to prove structural integrity and reliability.

As long time experience is not available, extra material safety factors are recommended.



Overview

Miter Gate Seals

- Seals serve an important purpose in sealing the perimeter of the gate to the lock walls and sills
- Bottom Seal
 - The bottom seal of the gate against the lock sill is important since the high water pressures can cause excessive leakage and damaging vibrations to the gate.
- Side Seal
 - The side seals of the gate against the lock walls are primarily for water retention.

Chapter 5: Mitre Gate Seals

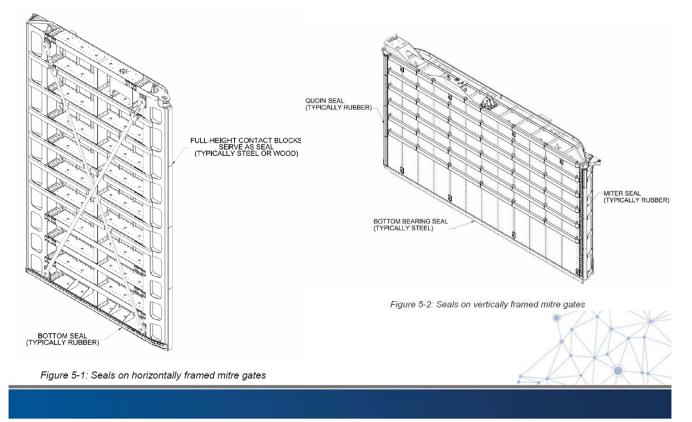
- Three primary sealing configurations:
 - Elastic polymers (rubber) bearing on metal or concrete contact
 - Metal bearing on metal contact
 - Wood bearing on metal contact or concrete lock wall



- There are several factors to consider in choosing a seal:
 - Durability
 - Maintenance
 - Leakage Limits
 - Load Transfer Ability (Stiffness)
 - Environmental Conditions (Temperature, UV)



Seal Locations



Bottom Sill Seals

Types

- Bottom Round Rubber Seal
- Bottom J-Bulb (Music Note) Seal
- Bottom Pork Chop Seal
- Inclined J-Bulb Seal
- Bottom Wood Sill Seal
- Bottom Block Seal in the Pintle (Pivot) Region

Bottom Sill Seals - Bottom Round Rubber Seal

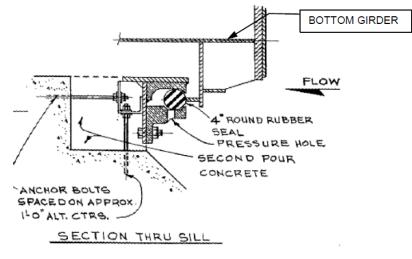
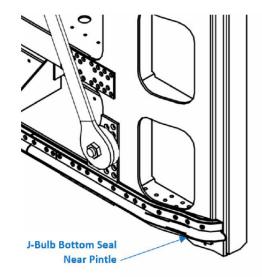


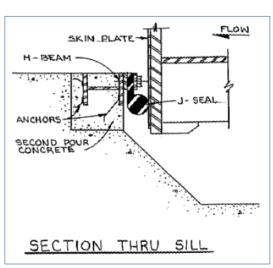
Figure 5-3: Round rubber seal (USACE EM 1110-2-2703 Plate B-21)

• Typically 100-mm (4-in) in diameter

 Can accommodate gate's thermal expansions 25 mm (1 in) of horizontal misalignment 10 mm (3/8 in) +/- of vertical misalignment

Bottom Sill Seals - Bottom J-Bulb (Music Note)





- Perform well around sharp bends (pivot area)
- Problematic along bottom sill
 - Prone to vibration
 - Debris can get stuck behind seal and affect performance

Bottom Sill Seals - Bottom "Pork Chop" Seal

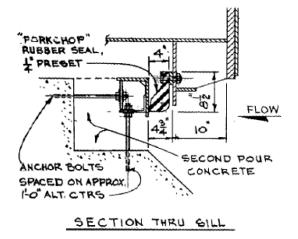


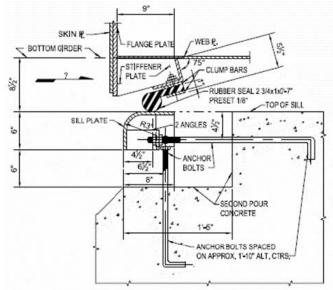
Figure 5-10: Pork chop seal

Less vibration problems

Cannot accommodate large gate thermal expansions

- 16 mm (5/8 in) of horizontal misalignment
- Over 25 mm (1 in) of vertical misalignment

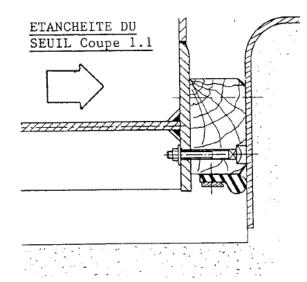
Bottom Sill Seals - Inclined J-Bulb Seal



- Recommended
- Best for withstanding damage from sill debris

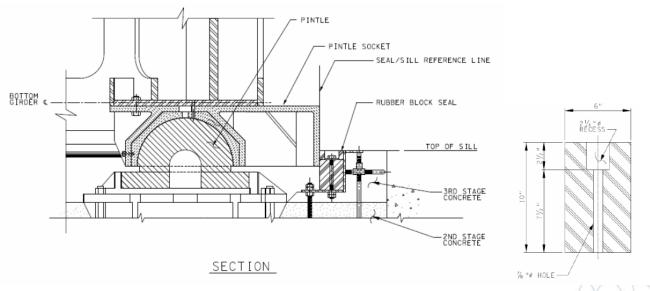


Bottom Sill Seals - Bottom Wood Sill Seal



- Extensive use, good if always submerged
- Preferred sill seal in Netherlands

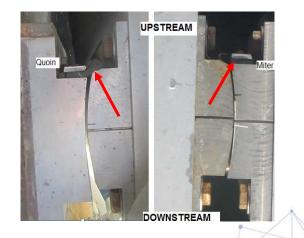
Bottom Block Seal in the Pintle (Pivot) Region



- Robust long service life
- Disadvantage attached to concrete, hard to service

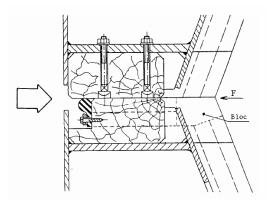
Side Seals

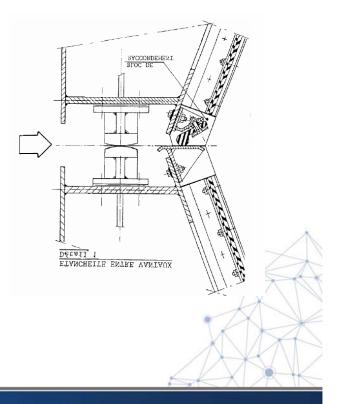
- Side seals run full-height of the quoin blocks
- Used to improve gate leak asthetic performance
- Be careful Side seals can mask quoin block alignment issues



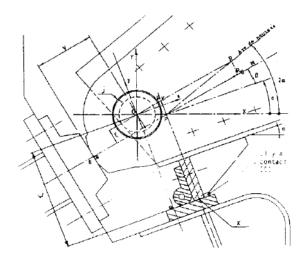
Side Seals at Mitre End

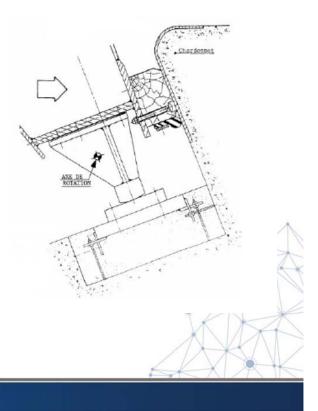




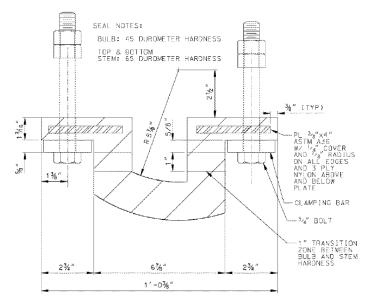


Side Seals at Quoin (Hinge) End





Side Seals – Omega Seal



- More durable and abrasion resistant than J-bulb (Music Note)
- 10-mm thick plate has been embedded in the stem to prevent seal from tearing from gate

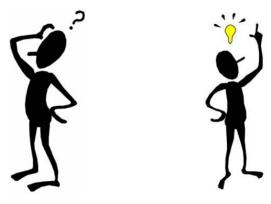
Seals – Best Practices

• Consider factors in choosing a seal:

- Durability
- Maintenance
- Leakage Limits
- Load Transfer Ability (Stiffness)
- Environmental Conditions (Temperature, UV)
- Where possible, make seal in one piece minimize splices

Attach to gate for ease of maintenance

Questions? Feedback?







Mitre Gate : Design and Operation Chap 6 & 7: Components, O&M PIANC Report No. 154 Overview

Prepared by:

Yvan Cordier, Op. Manager, VNF

Report Overview

- **1.** Mitre Gate Systems
- **2.** Mitre Gate Components
- 3. Mitre Gate Design Issues and Guidance
- 4. Gate and Component Materials
- 5. Mitre Gate Seals
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A.D. 1885

AVIGARE NE

MITRE GATE DESIGN AND OPERATION

The World Association for Waterborne Transport Infrastructure



Chapter 6

Ancillary Components



Chapter 6: Ancillary Components

Ancillary but essential components of a mitre gate are:

- Walkways and access
- Lubrication
- Mitre guide and alignment features
- Impact protection systems





6.1 Walkways

The section 6.1 presents a complete summary of all design suggestions for walkways built on leaf gates and the associated protective railings.

The essential safety points for protective railings lie:

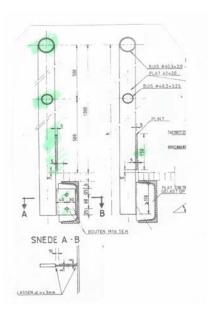
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- in their horizontal stiffness,
- in the use of longitudinal side baseboards: they not only prevent objects from falling off the walkway but moreover, these boards guide and recentre the steps bringing and confirming their true safety function for the user.

Revision: D

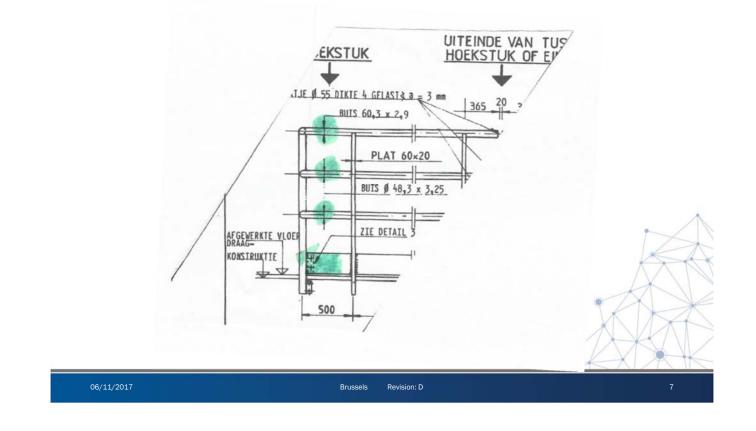
Chapter 6: Ancillary Components

6.1 Walkways and railings





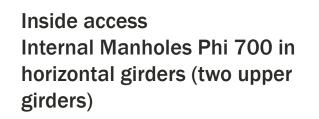
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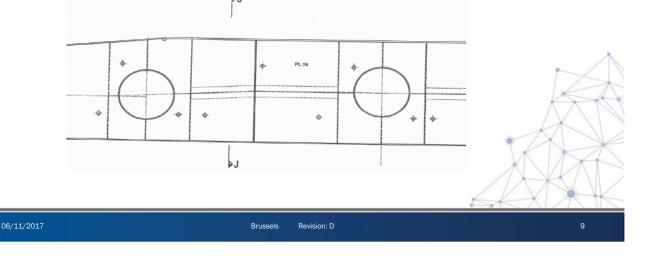


Chapter 6: Ancillary Components

6.2 Access in the gate

- <u>Inside access holes</u> in the horizontal beams as the overall leaf thickness often allows - are in fact true manholes with or without covers. These access holes serve to inspect the leaf's structural components.
- <u>Exterior access holes</u> make it possible to check the cleanliness of the gate pivot area and its possible sandingup or silting. They are equipped with cover plates.





6.3 Lubrication - General

- Self-lubricating materials offer potential for improved performance.
 - Corrosion resistant
 - <u>Examples</u>: Kamatics KAron V, Deva, Bronze Pan, Tenmat Ferroform/Ferroglide

As an additional measure related to lubrication and as far as the pintle is concerned, practical sense indicates that a supplemental forced lubrication FOR THE PINTLE should generally be scheduled for all the aforementioned reasons.



6.3 Lubrication - General

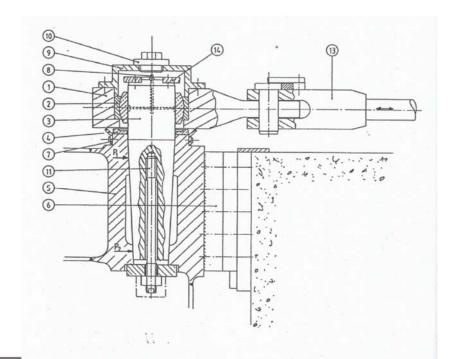


Chapter 6: Ancillary Components

• Top Hinge

06/11/2017

Design example Gate linking with the upper gudgeon by spherical joint.



Top Hinge

 The convex spherical central part slides into the pintle: this part is usually manufactured in self-lubricating bronze with inserts for solid lubricants.

- This assembly makes perfect self-alignment possible between the upper gudgeon and the lower pintle.
- Dedicated grease ports (10 and 8) ensure the possible lubrication of the bushings.

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Chapter 6: Ancillary Components

Pintle Ball Lubrication Grease Lines

- Grease feed <u>pipe lines</u> must be robust, protected, accessible for inspection, able to be dismantled and easily replaced.
- Pintle lines are used to evenly distribute grease across the pintle ball circumference
- The lubrication Improves performance and corrosion resistance





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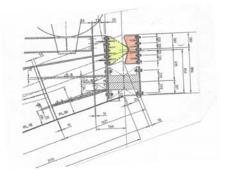
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Chapter 6: Ancillary Components

Mitre Guide and Alignment Features

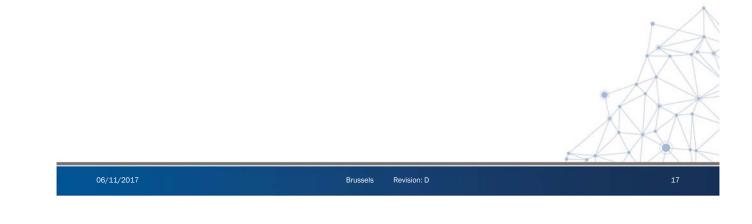
- <u>Position and or distance sensors</u> are usually installed on each leaf to detect whether a gate is in a good open condition or in a good closed condition.
- <u>The use of gate latches</u> can be justified during exceptional operating conditions of long durations because of the required protection towards wave shocks (recessed position).





Impact Protection Systems

- VID (Very Important Document): PIANC WG 151, Design of Lock Gates for Ship Collision
- Mitre gates are very susceptible to impact damage by vessels entering and leaving a chamber.
- Protection Feature Types
 - Gate fenders, gate bumpers, guard gates , and ship arrestors



Chapter 6: Ancillary Components

Fenders on downstream side



Figure 6-16: Typical fendering (Lock 19, Mississippi River)

Fender recommended materials: timber, metal, polyethylene



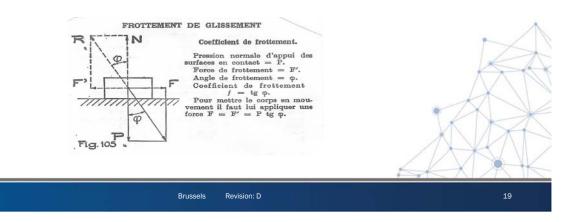
651 Fenders on downstream side

Reminder

As far as the design of fenders and gates is concerned every design for impact load should be based on two different assumptions of friction:

-Absence of sliding friction between ship hull and gate: f = tg ϕ = 0

-Maximum friction: f = fmax



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Chapter 6: Ancillary Components

Timber Gate Fenders

- Economical
- Good energy absorption
- Potentially shorter service life than UHMW – rotting; friction properties becoming spoilt with aging

UHMW-PE Glass Reinforced Gate Fenders

- Low coefficient of sliding friction
- Reduces "Stick-Slip" behavior on contact
- Long service life



Bumpers

In case of an accidental sliding impact, bumpers are used to minimize:

- the overall loading of the recessed gate,
- the simultaneous machinery loading.



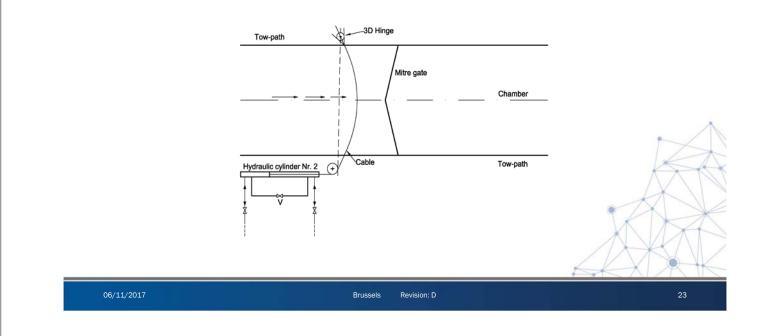
Chapter 6: Ancillary Components

Ship Arrestor System (not attached to gates)

- Design reduces the risk for mitre gate damage due to vessel impact
- The necessary elongation for proper cable function and energy absorption (order of magnitude: 4 to 5 metres) requires a corresponding lengthening of the lock chamber.
- This can be expensive construction for which investment has to be weighed against the risk of failure of the water retaining structure and navigation interruptions
- Mechanical system in itself: costeffective and easy to maintain

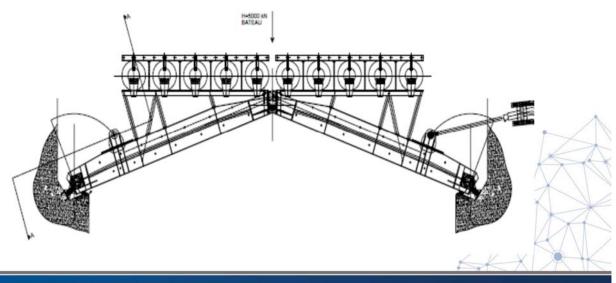


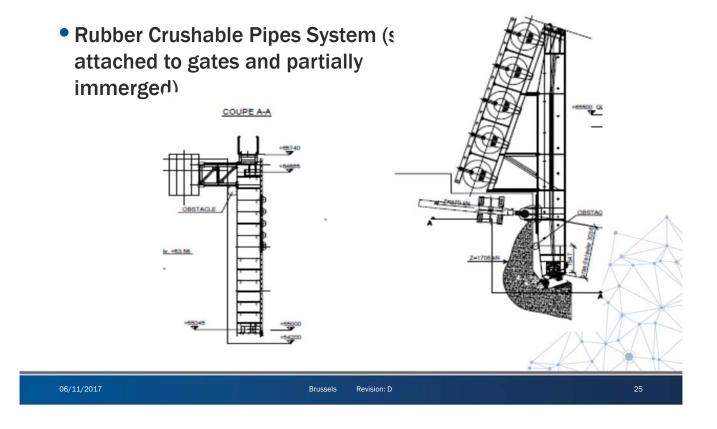
Ship Arrestor System (not attached to gates)



Chapter 6: Ancillary Components

Rubber Crushable Pipes System (system attached to gates)

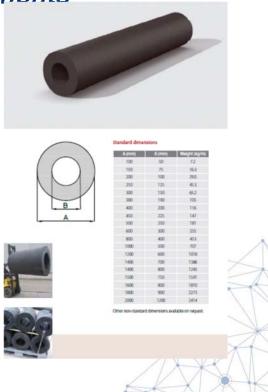




Chapter 6: Ancillary Components

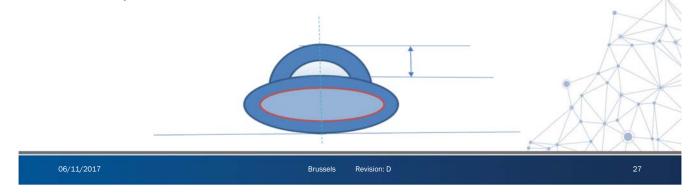
Crushable Pipes System (system attached to gates)

When compared to the prior system, the crushing necessary for a pipe's proper function is less than the cable elongation; the resulting dynamic amplification is thus greater than that of the cable system.



Crushable Pipes System (system attached to gates)

- Advantage: the space required along the length of the chamber is relatively small and the overall investment cost is reduced.
- Mechanical system: cost-effective and easy to maintain



Chapter 7: Operations and Maintenance

- Spare Parts
- Spare Gates
- Gate Repair
- Ice and Debris Management
- Inspections



Chapter 7: Operations and Maintenance



Spare Parts

- The operation of mitre gates requires stocking spare parts for the most fragile parts on a permanent basis.
- Split into two categories:
 - Emergency Parts
 - Replacement cannot be foreseen and therefore cannot be scheduled
 - Anchorage bars and linkages, pintles, gudgeon pins, diagonal bars, and operating strut arms

- Scheduled Replacement Parts

- Those parts which will need to be replaced due to normal wear and tear
- Pintle bushings, gudgeon pin bushings, anchorage bushings, gate actuator parts and sensors, fenders, seals, and sensors

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Chapter 7: Operations and Maintenance

Spare Gates

- Typically, the acceptable time period for navigation interruption is much shorter than the time required for major repairs or replacement.
- This is why there is a need for spare mitre gates that can be placed into operation in a relatively short amount of time.
- Depending on gate size and crane capacities, gates are <u>stored at locks</u>, on <u>barges or in special buildings</u> to preserve their condition.
- An analysis needs to be performed to determine the duration and costs of navigation interruption compared to the delay and costs of having spare gates.



29

Chapter 7: Operations and Maintenance

Gate Repair

- Gate weight, site accessibility and navigation interruption time will drive the need for repairing the gate in-place or removal of the gate.
- The mitre gate may contain <u>lifting lugs</u> that were used during construction
 - Facilitates handling during shop fabrication, erection, and initial placement of the gate
 - Facilitates maintenance operations
- Larger gates should be designed with jacking points
 - Allows the gate to be moved vertically using hydraulic jacks for initial placement, removal or maintenance of the pintle or seals



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31

Chapter 7: Operations and Maintenance

Ice and Debris Management

- Ice prevention and ice breaking measures require flexibility. Why?
 - Disparity of the inland waterways
 - Geographical location within the country
 - Type of inland waterway traffic
- High volume air bubbler systems
 - Move ice and debris from the gate recess area such that the gate may fully recess
 - Low volume air systems or propeller systems can be attached to the gates to prevent the build-up of ice on the gates
- Electric thermal strip systems



Chapter 7: Operations and Maintenance

Inspections

- Underwater divers
 - Historical method



- An alternative technique
 - Refers to a process which replaces and prevents diving (human immersion)
 - Remote camera inspection
- A complementary technique
 - Submerged or non-submerged assistance operations provided during an underwater diving operation

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 The main objective of complementary techniques to diving is to improve the safety of the underwater operations and reduce the risks faced by divers

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Remote automatic dredging





33

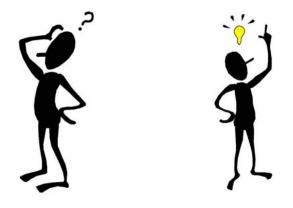
Conclusions

- Design to accommodate safety of navigation, structural robustness, ease of future maintenance
- Consideration of new materials, weighing advantages and limits
- Standardization can be very useful



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Revision: D



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06/11/2017

35

Mitre Gate Design and Operation PIANC Report No. 154 Overview

Operations Appendix A – Charts to Assess Mitre Gate Opening/Closure Time

A.D. 1885

AVIGARE N

Prepared by:

Yvan Cordier, Op. Manager

Appendix A Overview

- **1.** Mitre gate Operating Speed
- 2. Results of the WG 154 Survey and Proposal of Design Charts
- **3.** Comparison with a US Design Specification EM 1110-2-2703
- **4.** Conclusion



1. Mitre gate Operating Speed

The rotational speed value chosen for the miter gates is very important for...

- the total time taken for the closing and opening operations;
- working out the maximum operating forces;
- selecting the hydraulic equipment (pumps, pressure and pump flow rates for the actuators).

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2. Results of the WG **154** Survey and Proposal of Design Charts

06/11/2017

Group 154 conducted a survey to help the designers choosing relevant values of the opening and closing times.

These values can be used as reference points for <u>classifying a movement as a slow, normal</u> or fast movement.

2. Results of the WG 154 Survey and Proposal of Design Charts

- Recap of the survey's assumptions
- The inventory does not take any lubrication type, or system of upper & lower hinges into account, and no water temperature effect. It only applies to steel gates.
- Gates are always supposed to operate during normal operating conditions without taking into account any particular design specification or code, wave, wind loading or other loading.

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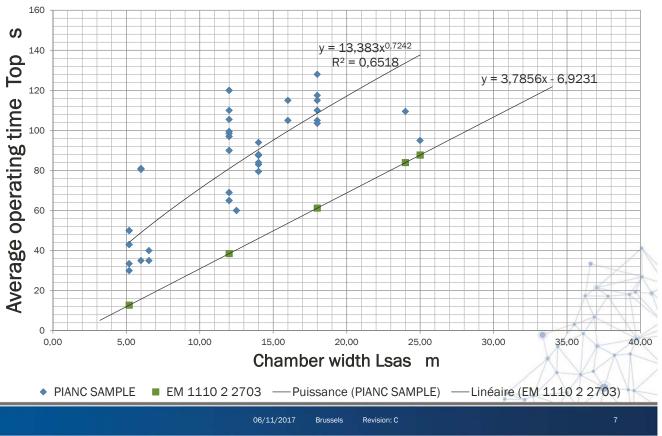
2. Results of the WG 154 Survey and Proposal of Design Charts

06/11/2017

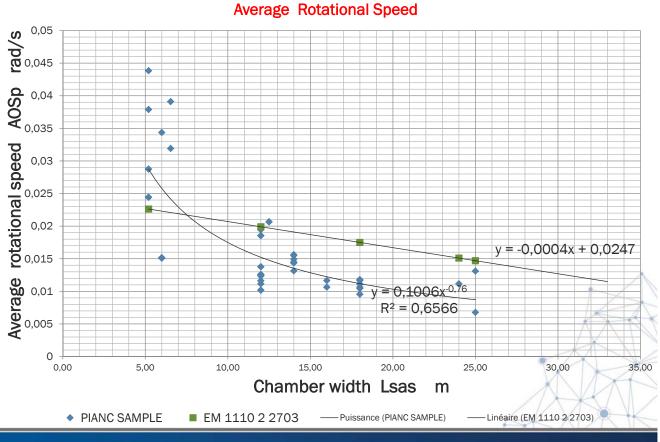
The operating time of a gate is between 50 s and 120 s.

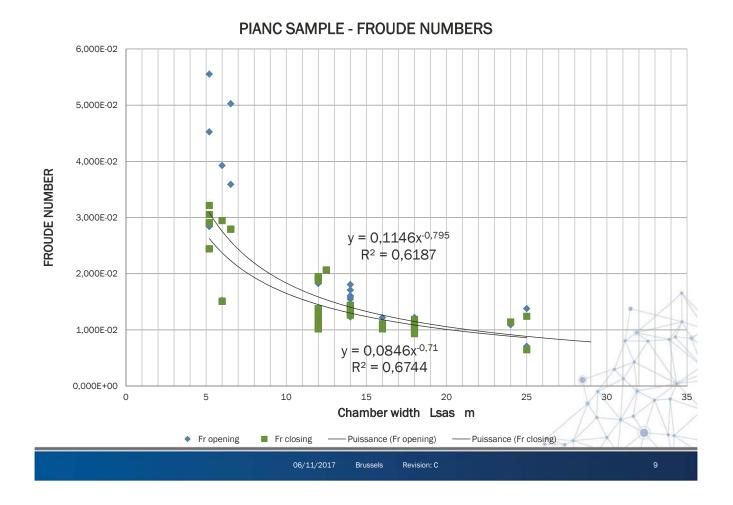
See following graph :

- Average operating time
- Average rotational speed



Graph Average Operating Time





2. Results of WG **154** Survey and Proposal of Design Charts

How to hose a relevant closing (opening) time value and average rotational speed ?

We interpret the standard deviation S intuitively, i.e. we state that:

- Approximately 68% of the observations are within <u>one</u> <u>standard deviation</u> S of the mean M, i.e. within the interval M + - (1*S);
- Approximately 95% of the observations are within two standard deviations of the mean M, i.e. within the interval M + - (2*S).

3. Comparison with US Design Specification EM 1110-2-2703 and conclusion

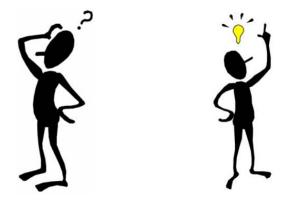
- Specification for chamber widths between 25 m (smaller gates) and 34 m (larger gates).
- The time proposed by the EM 1110 design specification is shorter and should be considered as belonging to ~~ 95% of the target group described in the PIANC sample; EM 1110 values are small and within two standard deviations S of the mean M, i.e. within the interval M (2*S).

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Questions? Feedback?

06/11/2017



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Conclusions

Prepared by:

Eric Johnson, P.E., S.E.



Report Overview

- **1.** Mitre Gate Systems
- **2.** Mitre Gate Components
- **3.** Mitre Gate Design Issues and Guidance
- **4.** Gate and Component Materials
- **5.** Mitre Gate Seals
- 6. Ancillary Components
- 7. Operations and Maintenance
- 8. Conclusions
- 9. References



MITRE GATE DESIGN AND OPERATION



Conclusions

- Mitre gates have a long history and have been used in a wide variety of conditions, heights, and widths
- This variety of conditions pose difference advantages and disadvantages



Recommendations for Design, Geometry, and Framing

- Vertically framed more economical and easier to maintain for height-to-width ratios of less than about 0.7
- Top and Bottom Hinges that accommodate tolerances for fabrication, wear, and construction improves load transfer and longevity
- Gate operating mechanism: Ohio River (direct connected mechanism)
- Design to accommodate ease of future maintenance
- Diagonals method of tensioning located near the top of the gate, preferably above the water line, for accessibility and corrosion prevention.

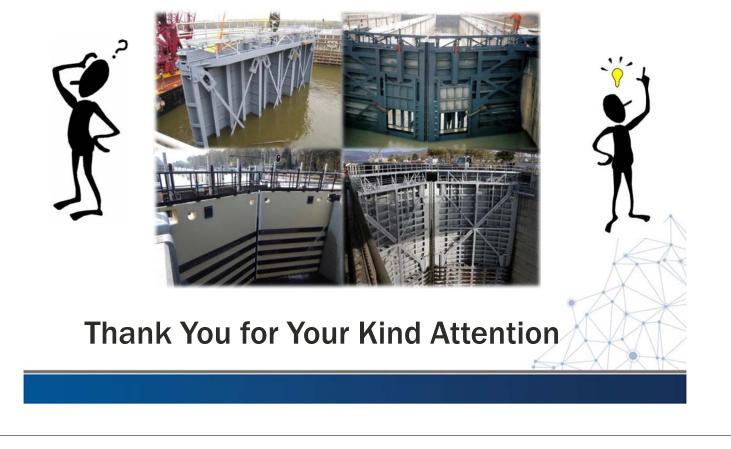
Recommendations for Computational Analysis

- Ship impact can be more easily considered at early design stage without having to use nonlinear finite elements
- Feedback from instrumentation and structural health monitoring is providing better understanding of gate behavior that can be modeled and improve design

Recommendations for Materials, Seals, and Maintenance

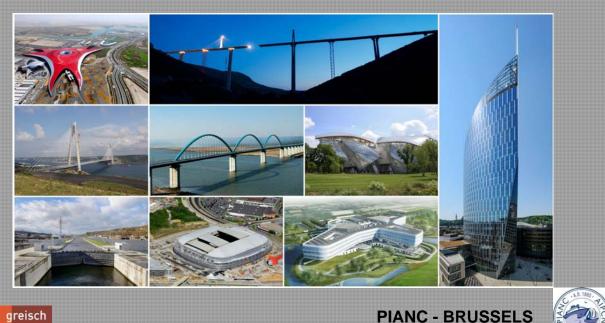
- For materials used, consider structural demands and match them with materials that have best properties to meet performance and longevity
- Consider new materials applicability depends on the material properties, performance history, limitations and specific conditions.
- Attaching seals to the gate rather than to the concrete wall or sill results in easier maintenance
- Standardization: reduces part inventory, improves consistency in repair & replacement methods, and reduces overall maintenance costs

Questions? Comments?





Greisch Multidisciplinary team of engineers and architects Bridges, hydraulic works, buildings, steel structures



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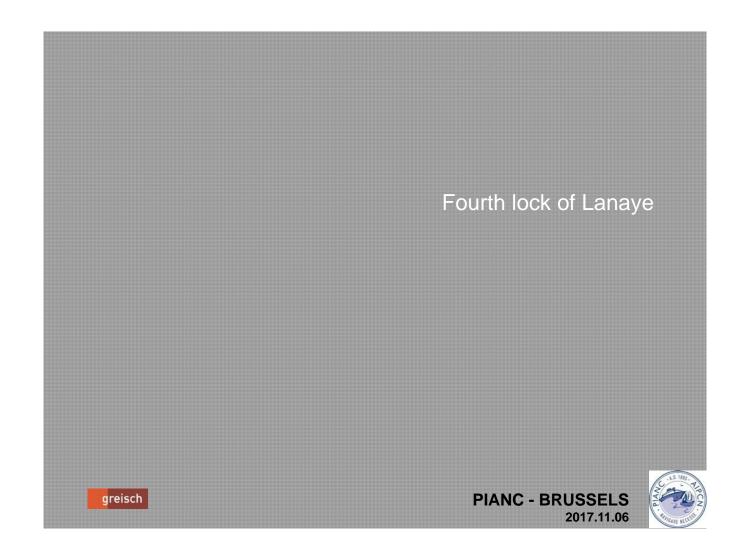


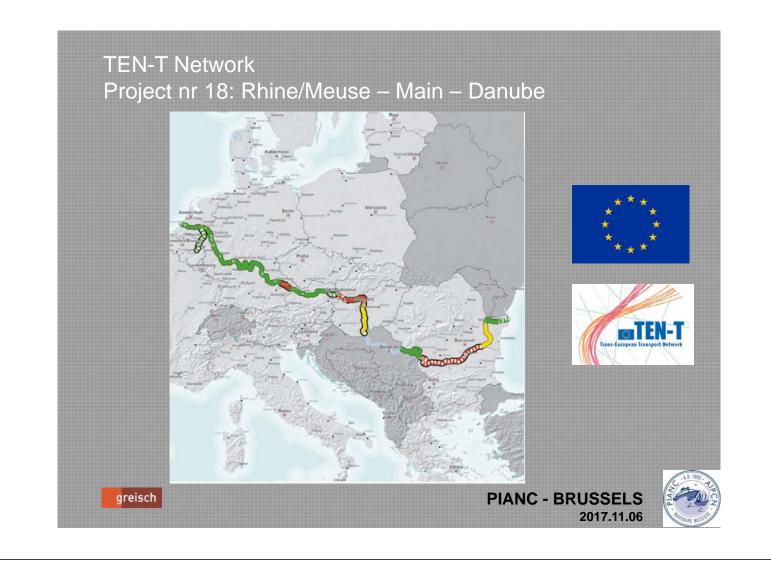
Oméga Court rue Jules Cockx 8-10 B-1160 Bruxelles +32 (0) 2 778 97 50 bruxelles@greisch.com Liège Science Park Allée des Noisetiers 25 B-4031 Angleur +32 (0) 4 366 16 16 liege@greisch.com Luxembourg Route d'Arlon 25 L-8410 Steinfort +32 (0) 4 366 16 16 luxembourg@greisch.com

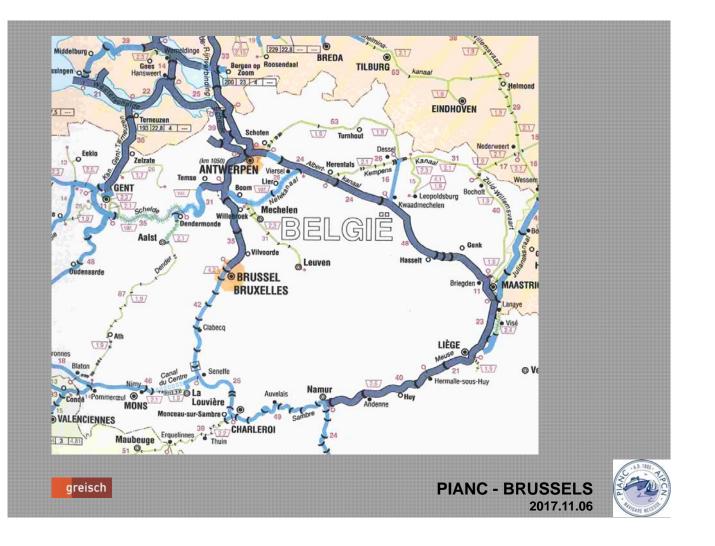
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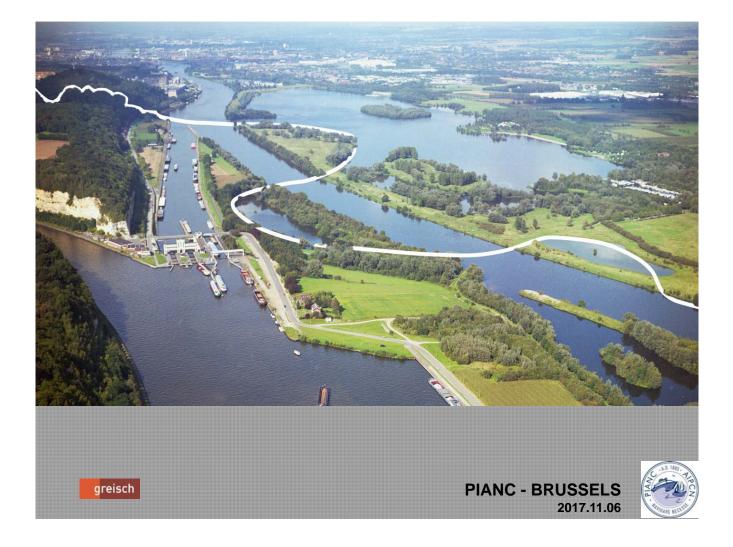
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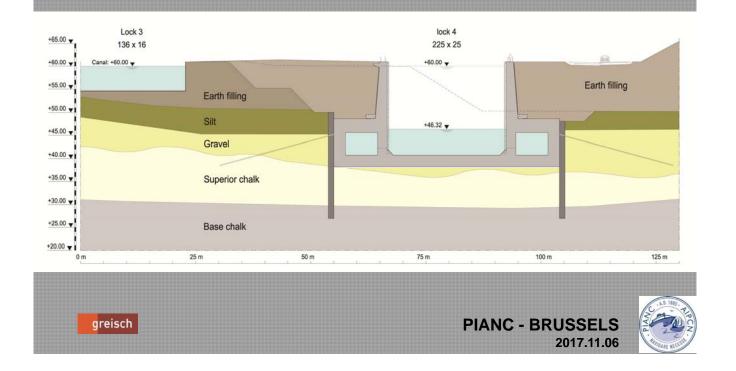
class VIb lock 225 x 25 m 14m head butterfly valves 2 longitudinal culverts

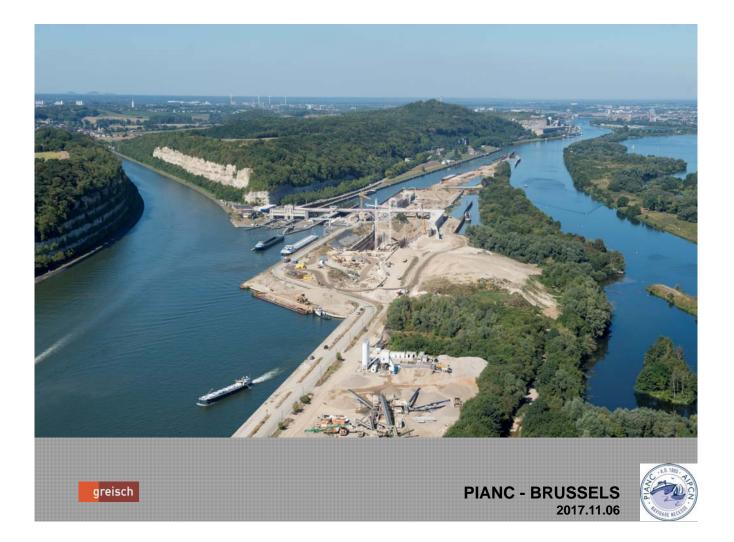
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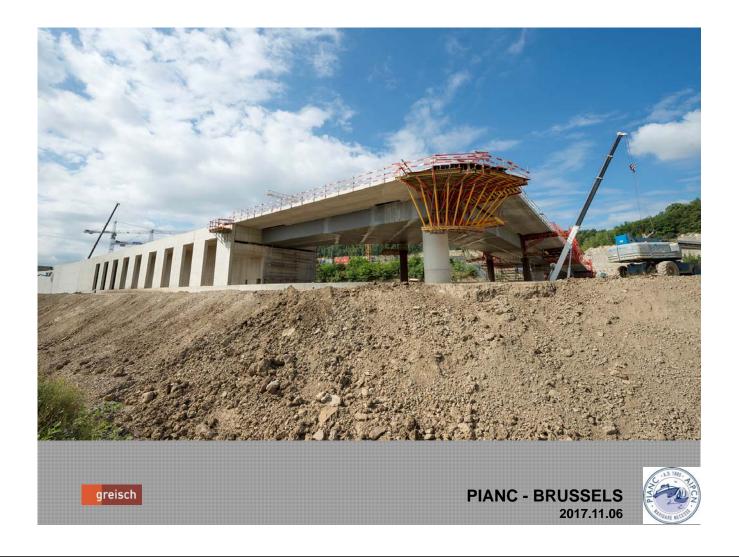




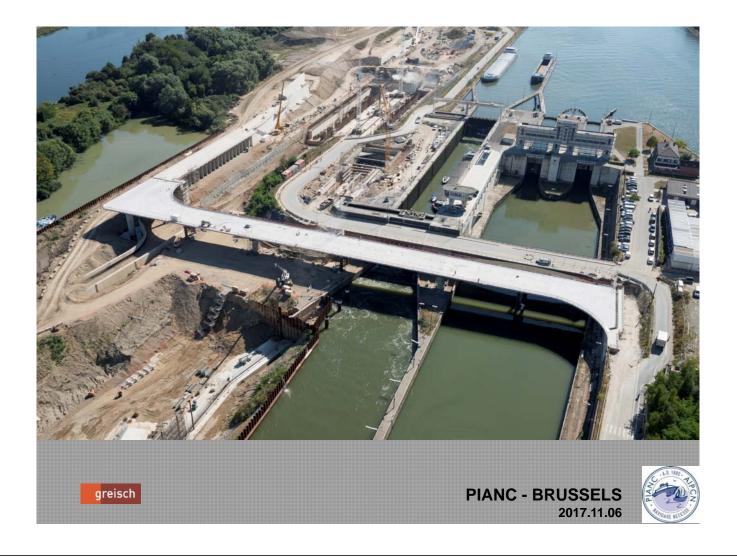
typical cross section







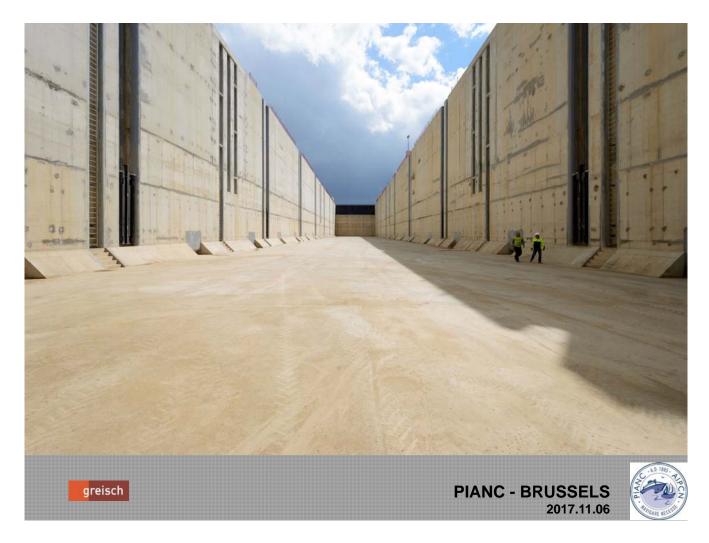




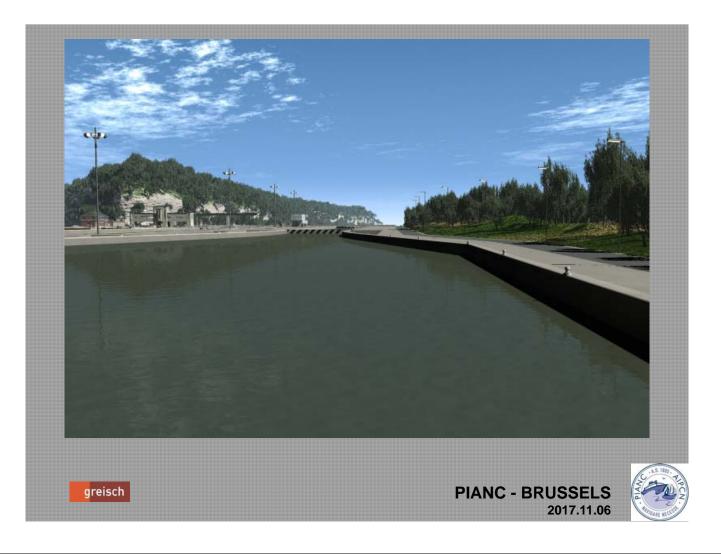


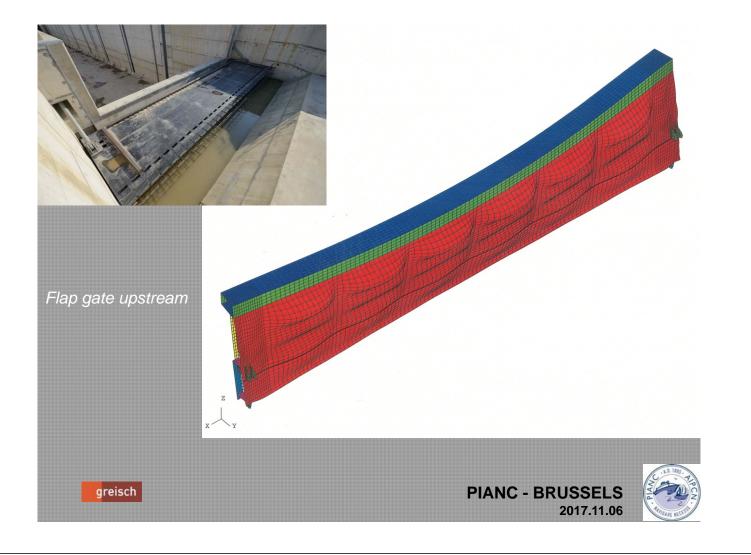


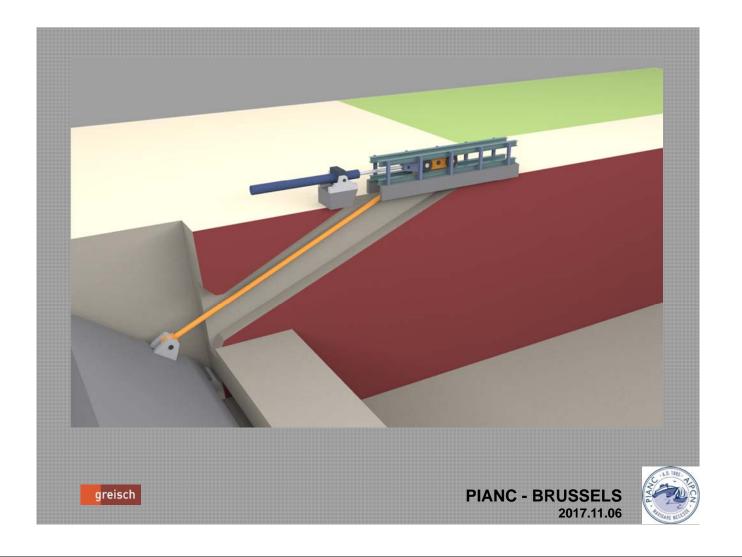


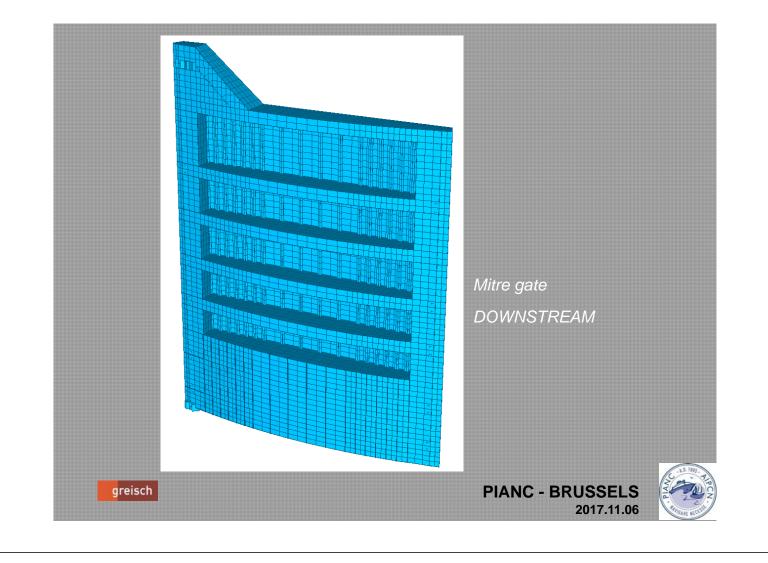


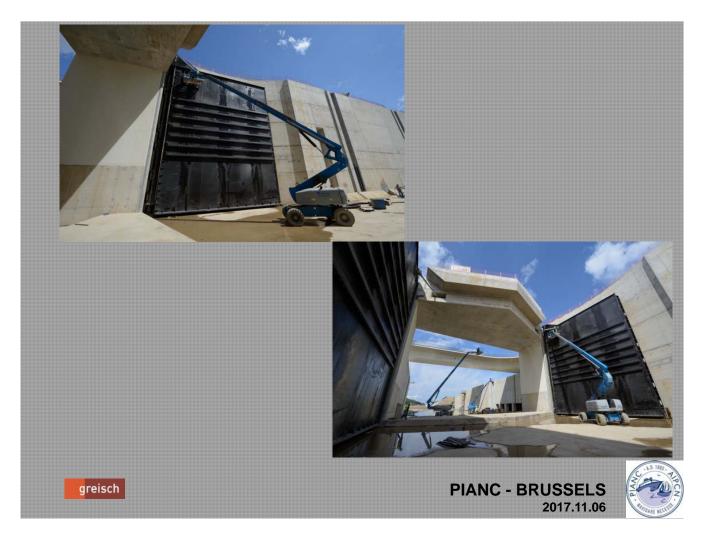


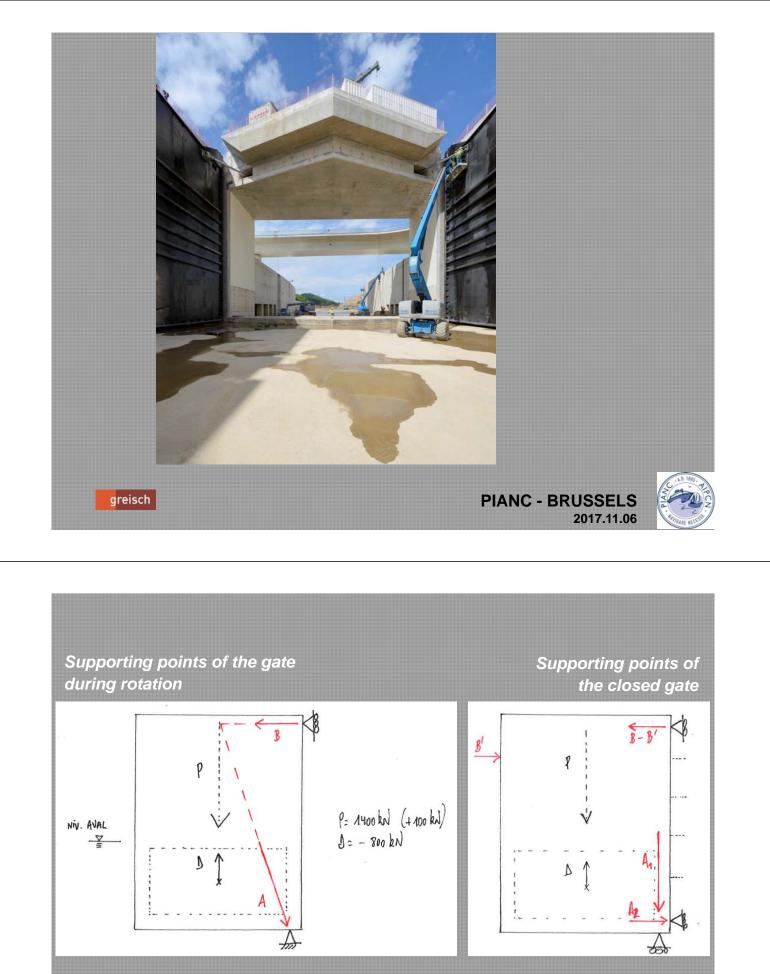






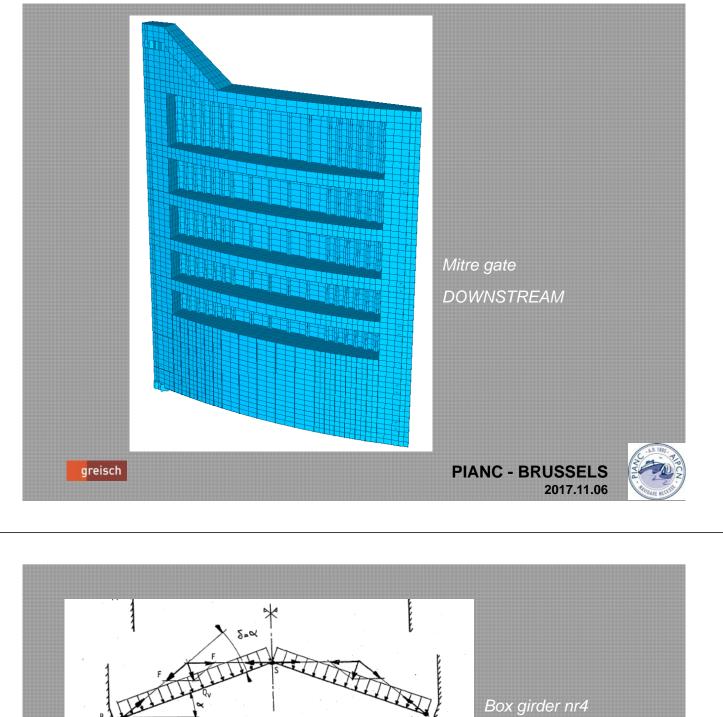


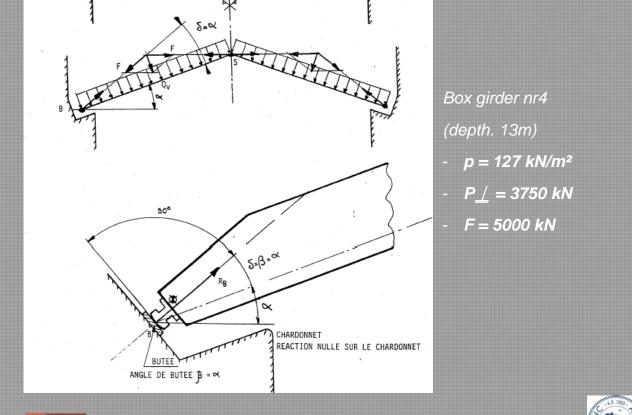






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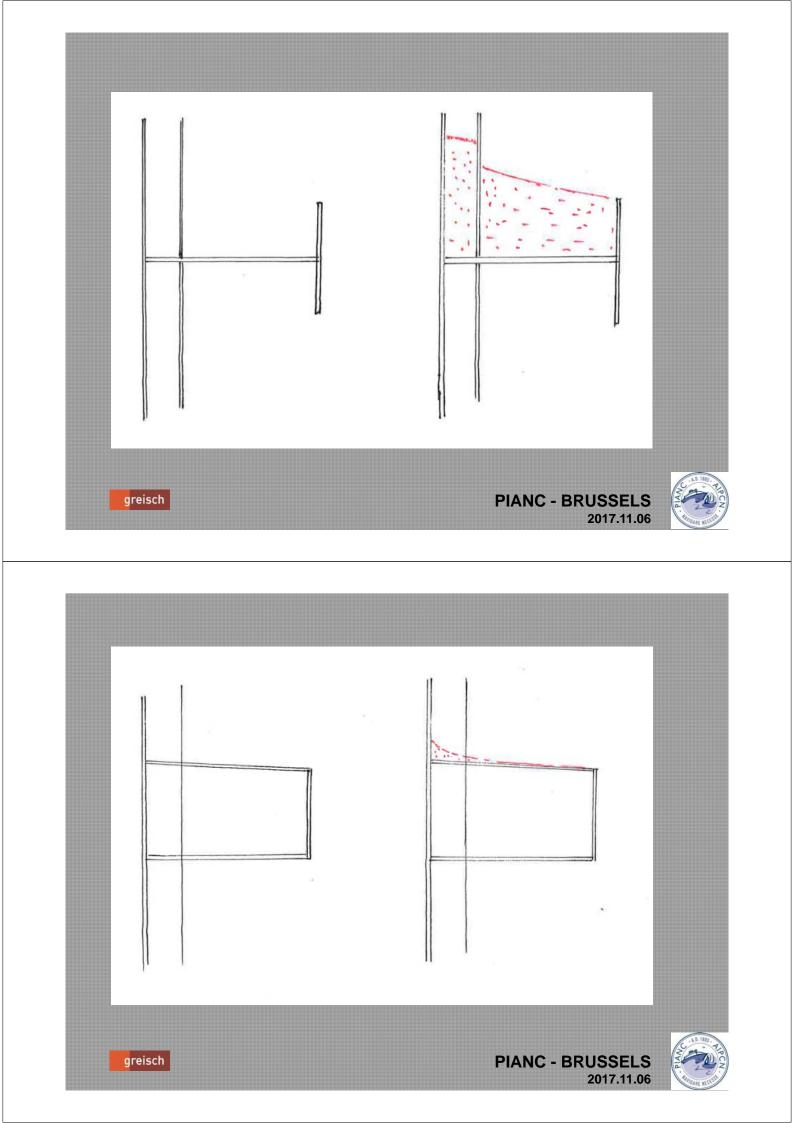




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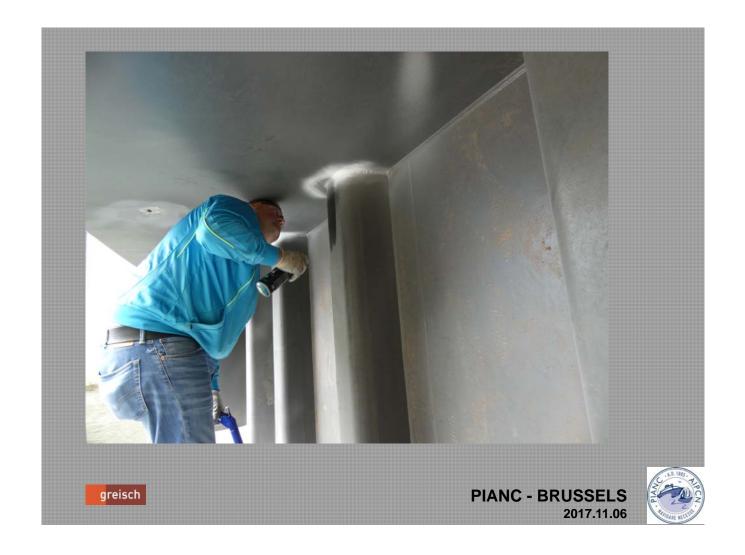
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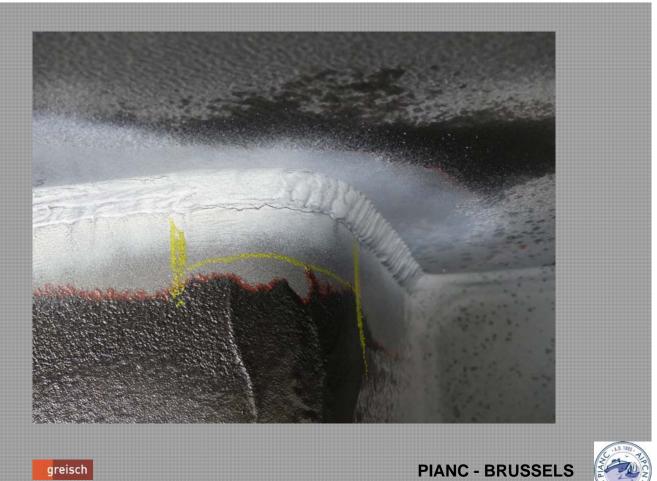




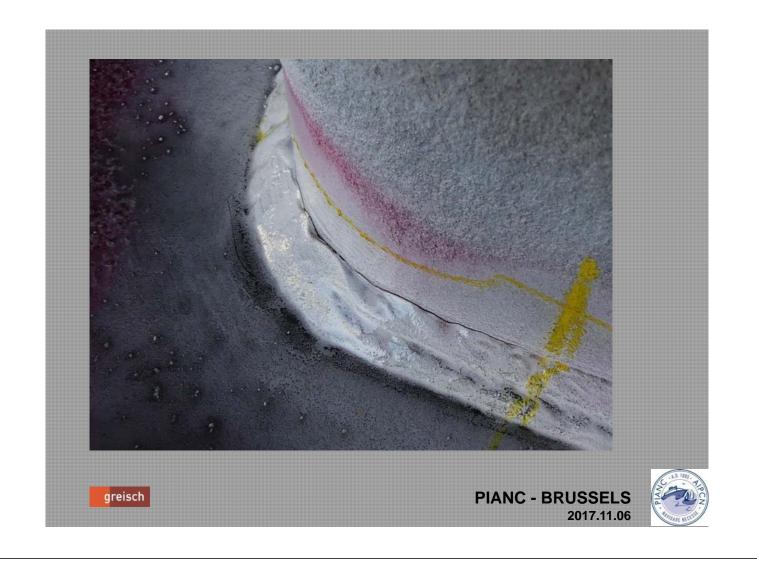








2017.11.06





- Operations made under the authority of the Public Administration of Wallonia
- Close collaboration between all the involved services (Public Administration, Contractors and sub-contractors, Study Office, Supervising Office)
- Common goals : security of the people and the structure, identification of the cause(s), repair strategy, durability and impact minimization (navigation was interrupted during 2 months)



Investigation of causes





greisch



- Combination of bending and shearing effects in the stiffeners (acting as vertical continuous beams):
 → very low stresses
- Lack of ductility in the folded metal : 7mm fillet welds upon 7mm metal sheet
 → Internal bending radius of the sheet 35 mm : ratio ok
- Welding methods (Residual stresses) :

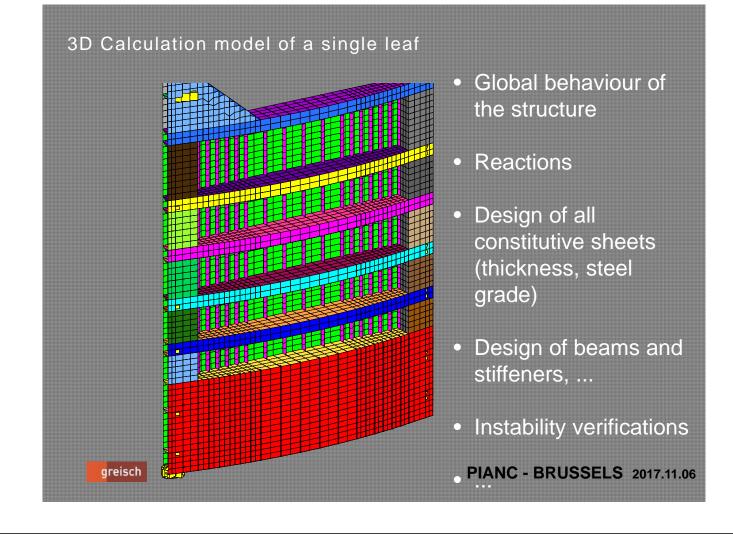
 → all procedures are correct and in accordance with the Execution class of the steel structure

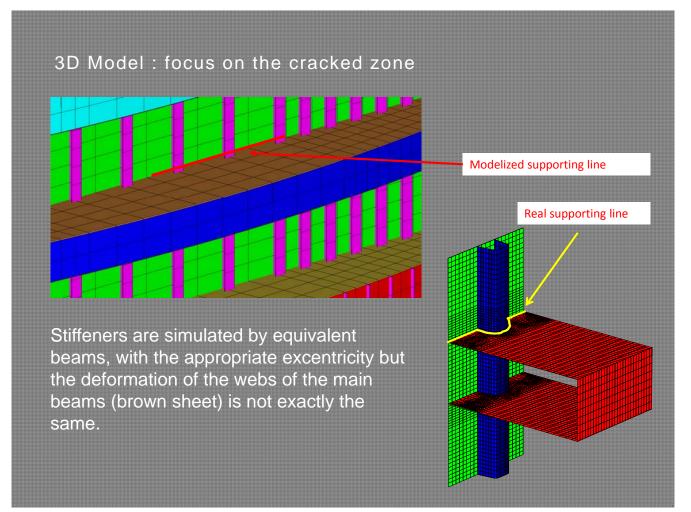


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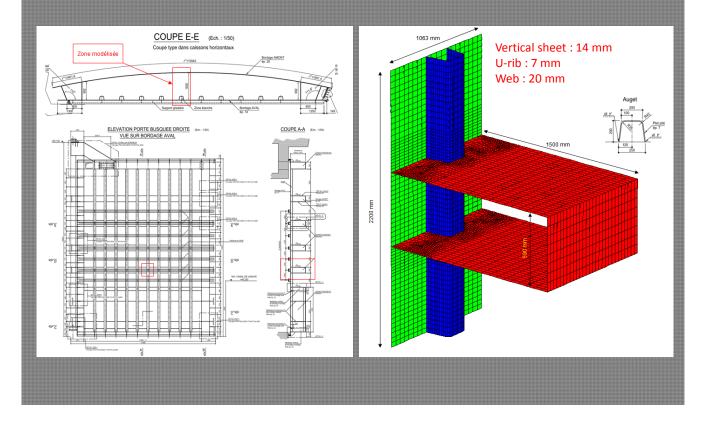
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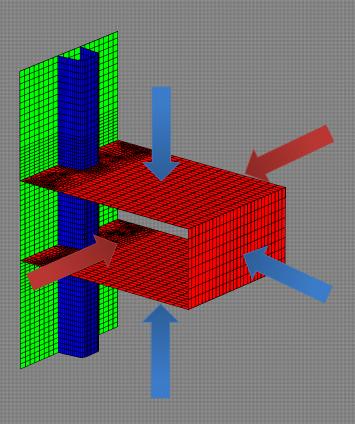




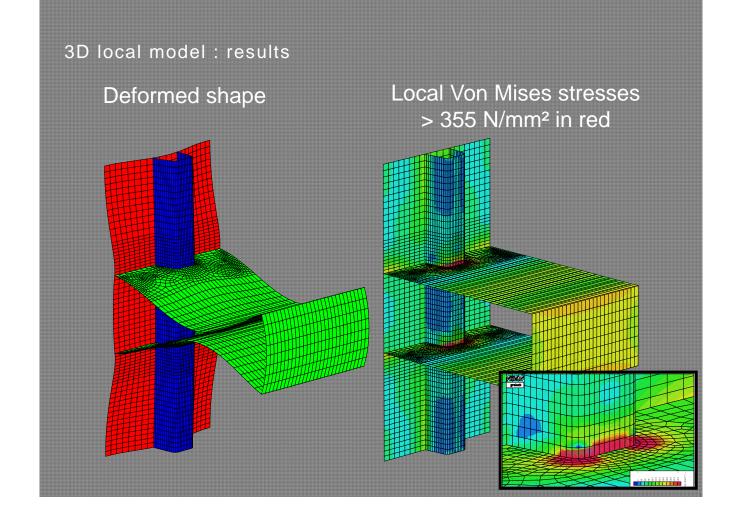
3D local model : represents one slice of the beam, of the U-ribs and of the main steel sheet



3D local model : defining the actions



- BLUE : Water pressure upon each side of the box (up to 130 kN/m² for the lowest beam)
- RED : mitre effect (horizontal compression in the beams)



How to fix this?

- From the outside? Addition of stiffeners or tierods between the box girders : welding , protection, painting + losing the initial simplicity of shapes
- Opening the boxes to balance the water pressure? The boxes are waterproof and not protected – no contact with external air nor water is allowed

 From the inside ? Vertical bars to balance the external pressure



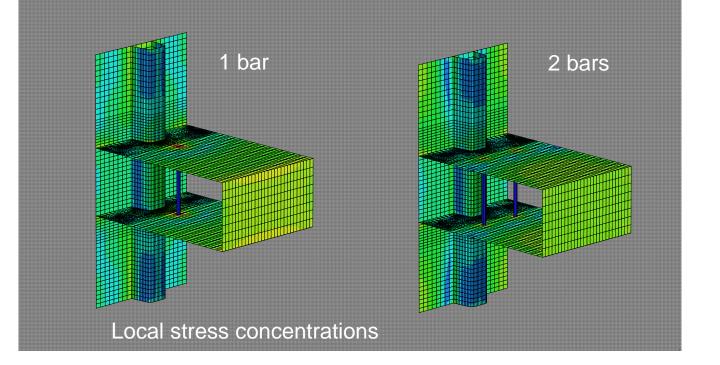
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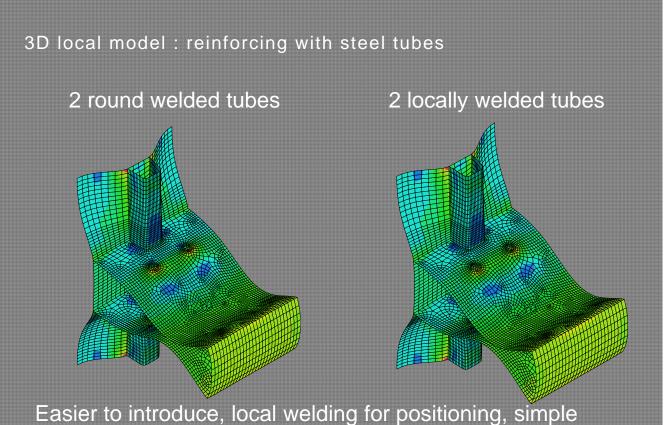
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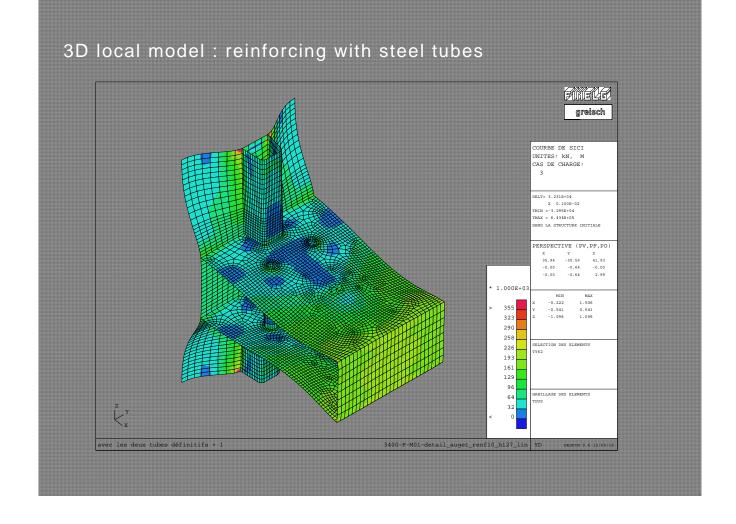
3D local model : reinforcing with bars

Main goal: to minimize the bending stresses at the connexion between the webs and the U-ribs





compression, limitation of bending stresses inside the tubes



This operation cancels the oligo-cyclic fatigue phenomenon. No more damage is generated

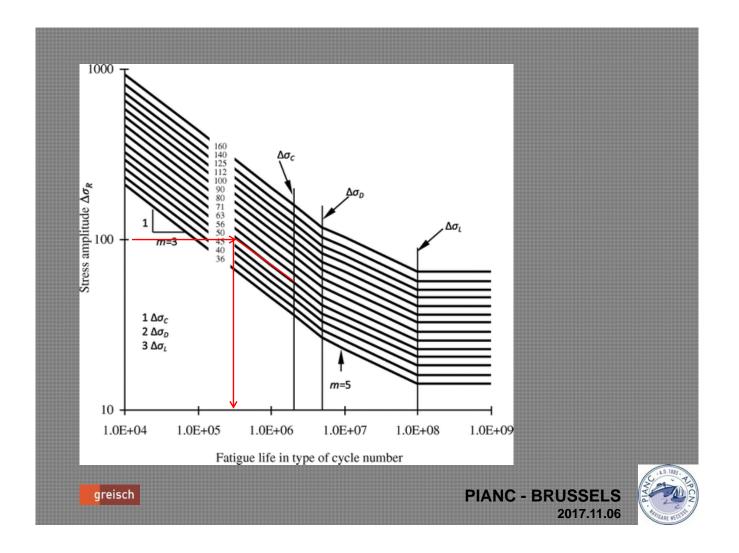
The reinforced structure needs to be verified according to classical fatigue norms: 20 cycles/d * 300d/year * 50 years = 300 000 cycles

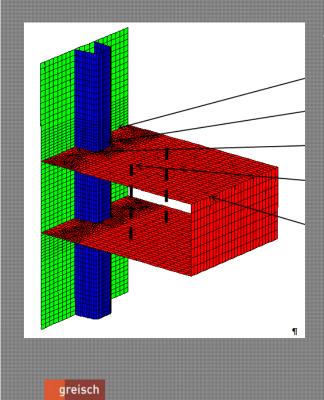
$$\Delta \sigma_{300\,000} \approx 2 * \Delta \sigma_{2\,000\,000}$$



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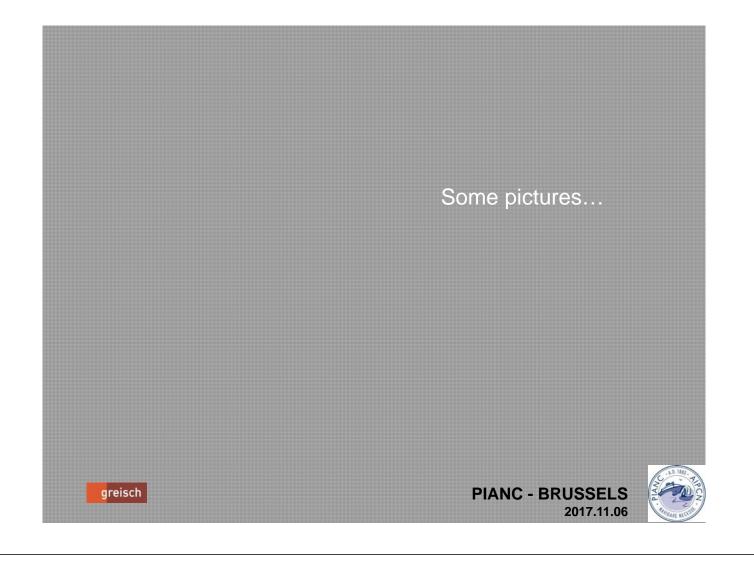


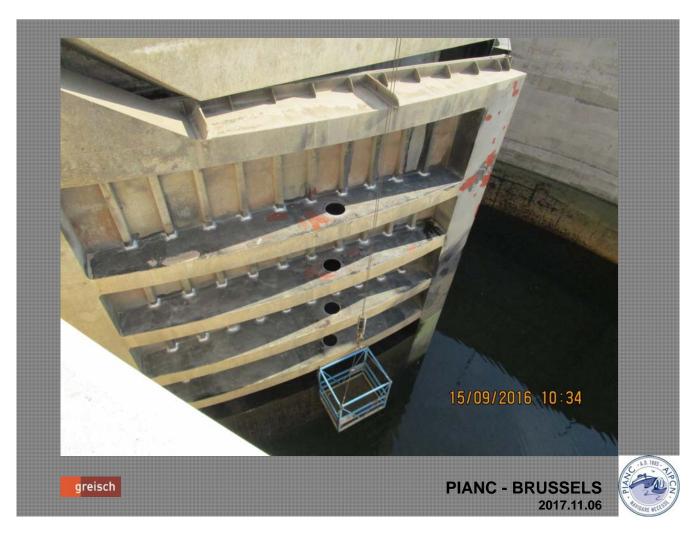


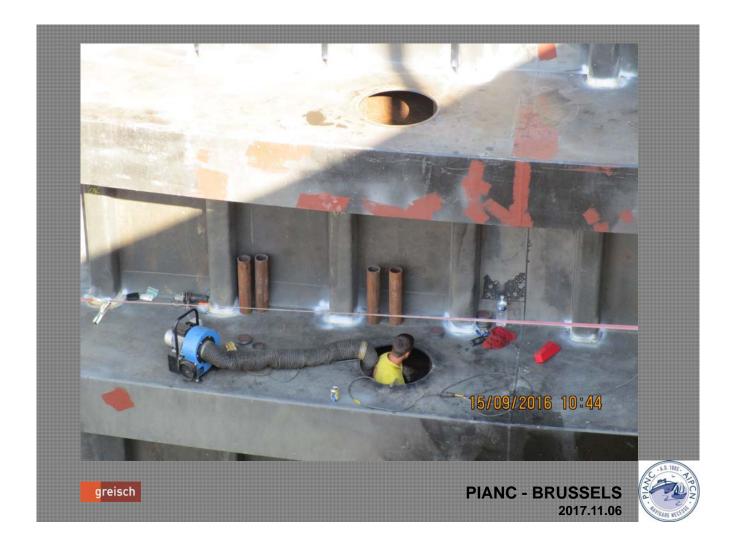
connexion	detail
Beam web / vertical plate	36*
U-rib reconstitution	36* or 71
U-rib/beam web	36* or 71
Plain web facing the tube	160
Web / flange	100
+ Manholes closure	71

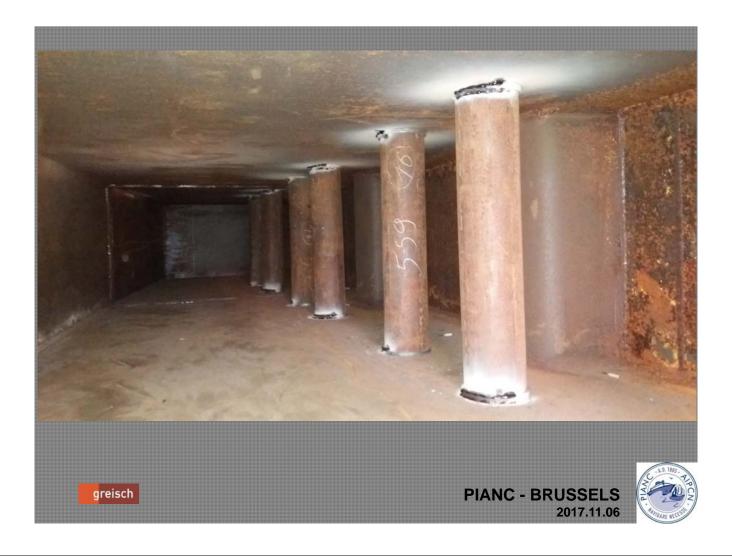


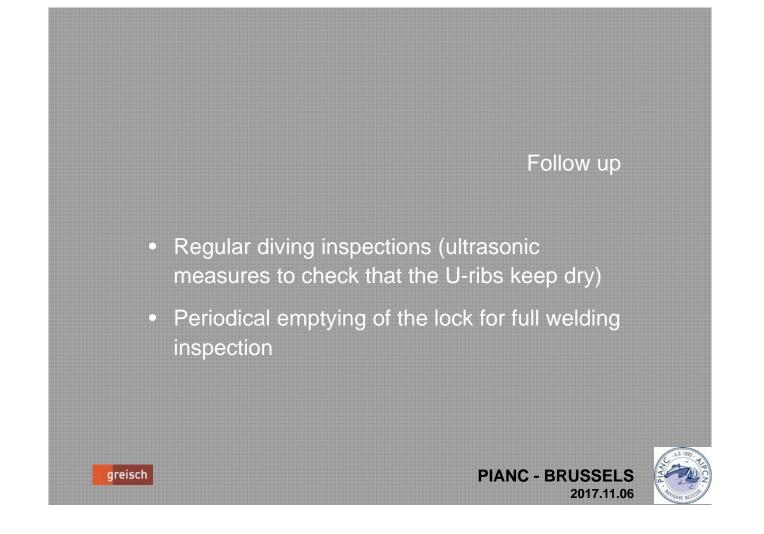
PIANC - BRUSSELS









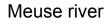


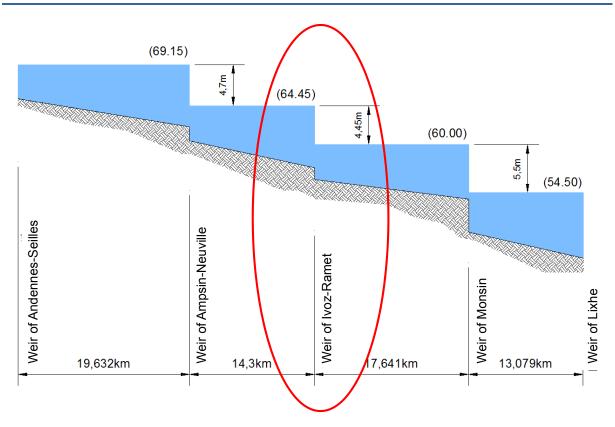




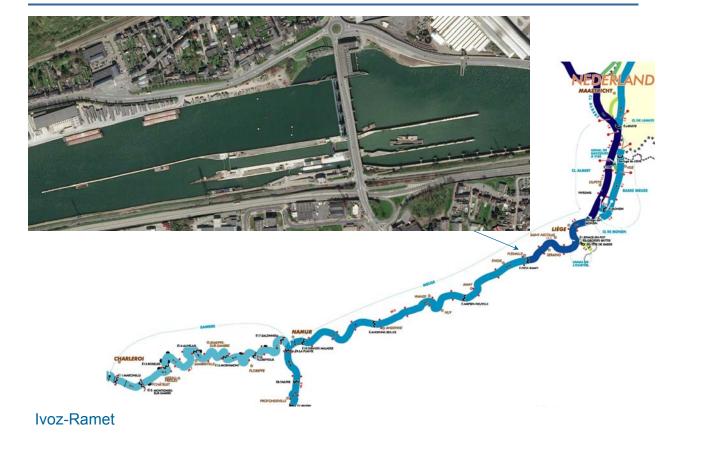
Ivoz Ramet (BE) Two locks equipped with mitre gates Two modes of transmission of the forces

6th November 2017 - Brussels



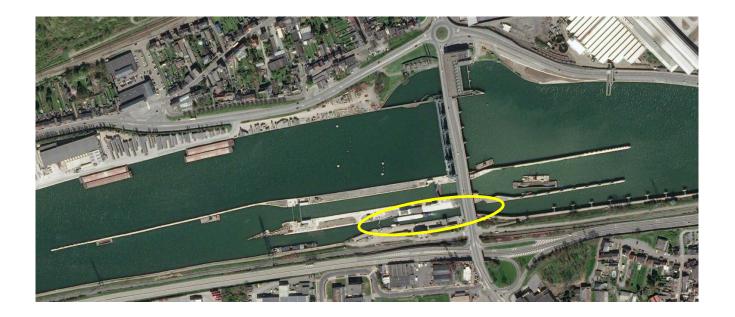


Situation



- Two cases
- Hinges and thrust blocks

Medium lock 136 x 16 m Free hinge - mixed solution



Lock 136x16m - Description

Filling and emptying system : 2 longitudinal culverts – 4 butterfly valves





Side port



Ivoz-Ramet

Substitution

- Hollow quoins and sills
- Mitre gates (with buoyancy tanks)

in 3 weeks during july 1999

Valves

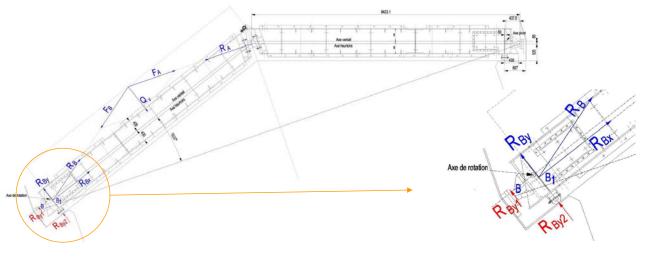


Ivoz-Ramet

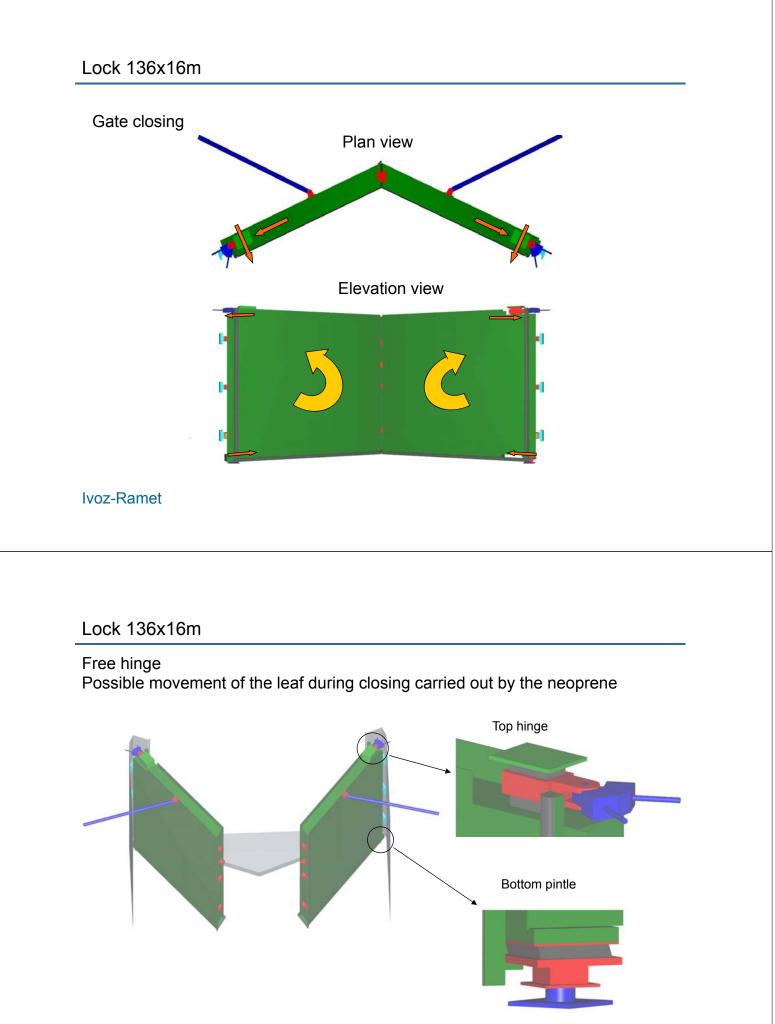
Lock 136x16m

Mitre gate - Geometry - High: downstream 9.5 m - upstream 6.65 m - Width: 8.42 m

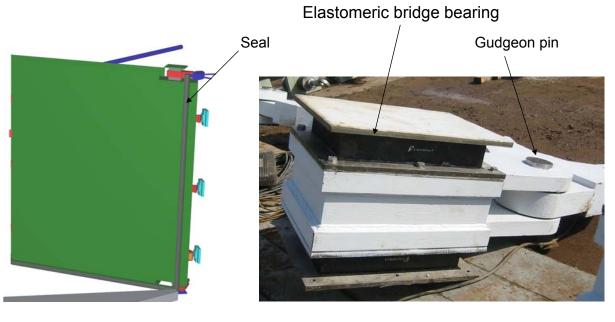
- 1. $\alpha = 19.07^{\circ}$ and $\beta = 0 \equiv$ Mixed solution
- 2. Hydrostatic force $Q_v = 2340 \text{ kN/leaf}$
- 3. Reaction on thrust blocks R_{Bx} = 3400 kN
- 4. Reaction perpendicular to leaf axis: R_{By} = 1170 kN = friction. block R_{By1} + hollow quoin R_{By2}



Ivoz-Ramet



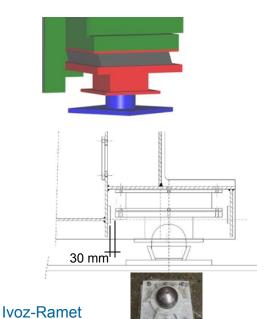
- 1. The seal of the gate is applied perfectly on the wall \rightarrow very good sealing
- 2. Crushing of the seal Buckling a part of stresses

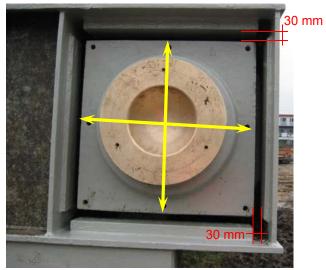


Ivoz-Ramet

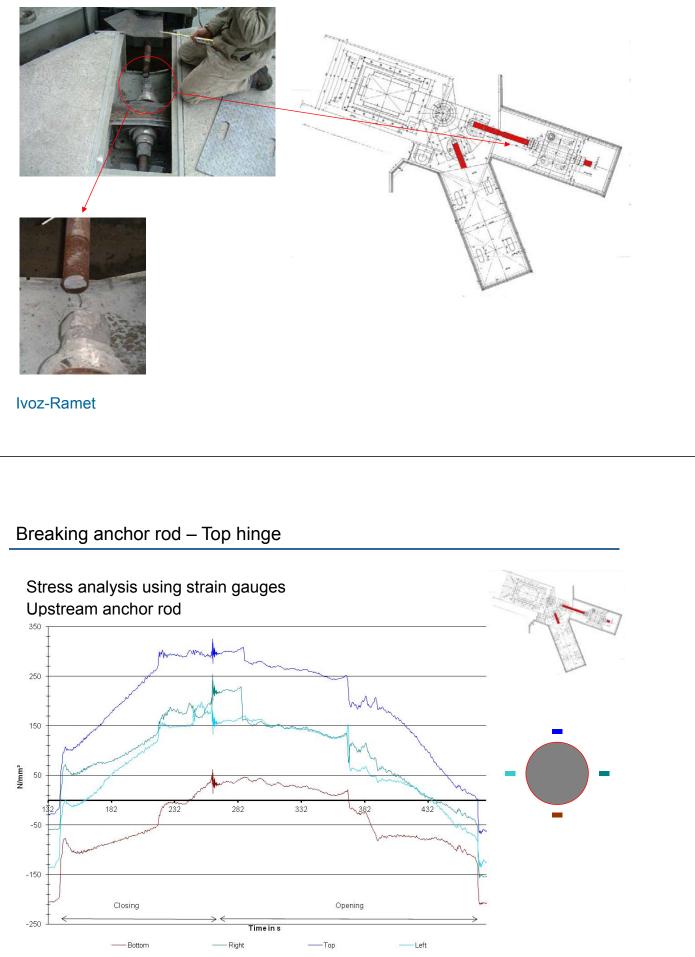
Lock 136x16m

- 4. Elastomeric bridge bearing must be prestressed > $2N/mm^2$ to avoid path \rightarrow Ballast for the bottom part and bolts at the top hinge part
- 5. Limited clearance : 2×30 mm **in both directions** = Free pintle system

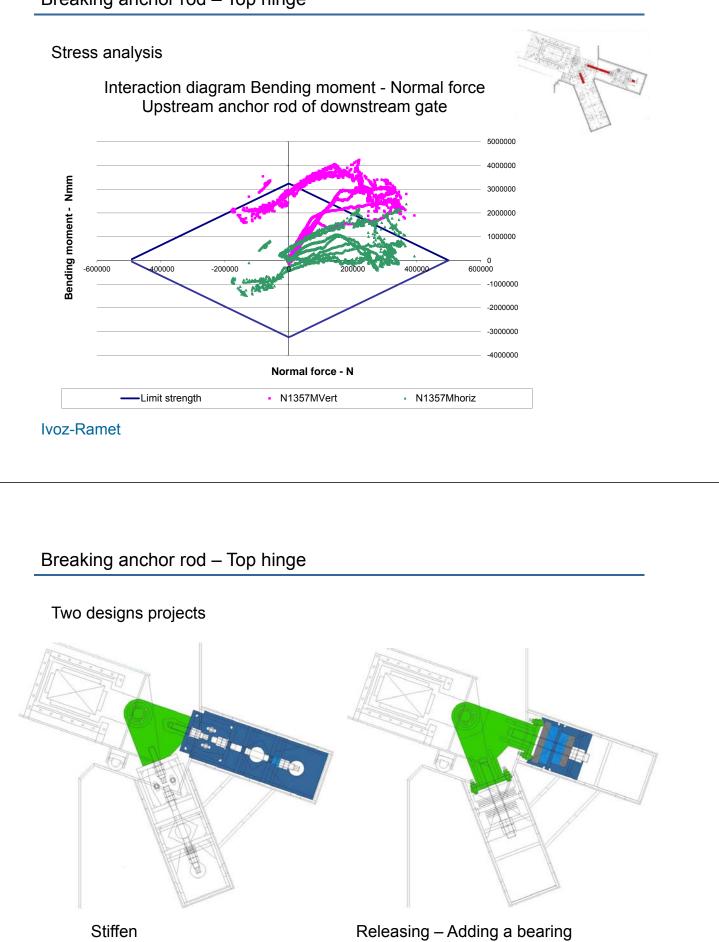




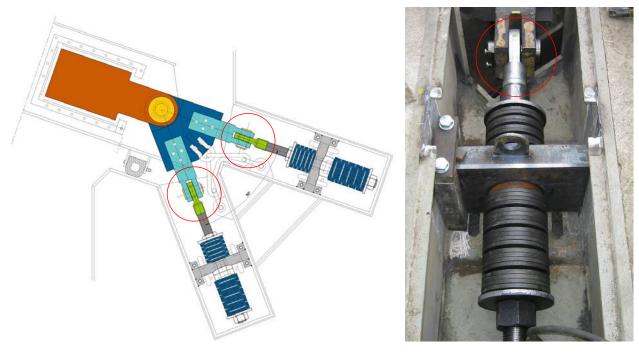
Breaking tie rods – Top hinge



Ivoz-Ramet



Ivoz-Ramet



Executed : Bearing with spring washer (Belleville) adding spherical bearing

Ivoz-Ramet

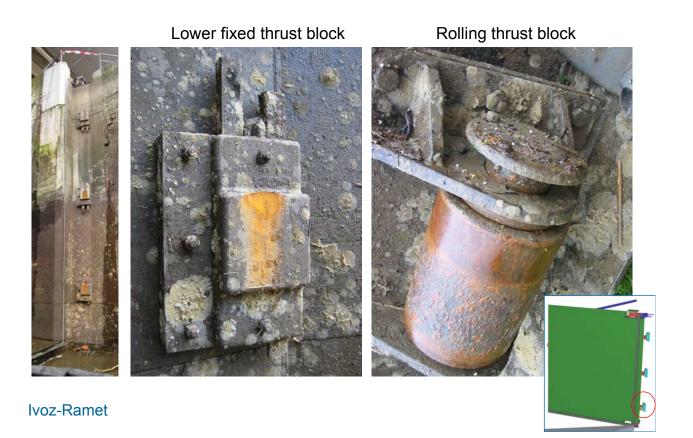
Anchor rod – Top hinge – Spring washer

Spring washer failure after 3 years $\frac{1}{2}$ Diameter 200/102 mm



Corrosion increases the friction between washers and so the stiffness and therefore the forces Ivoz-Ramet

Loch 136x16m

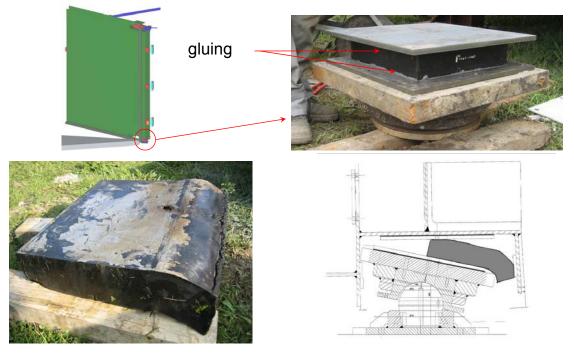


Lock 136x16m

Seal on the hollow quoin







Peeling off bottom hinge after 3 months due to a bad new gluing

Ivoz-Ramet

Large lock 225 x 25 m Free hinge - pure solution



Downstream gate



Ivoz-Ramet

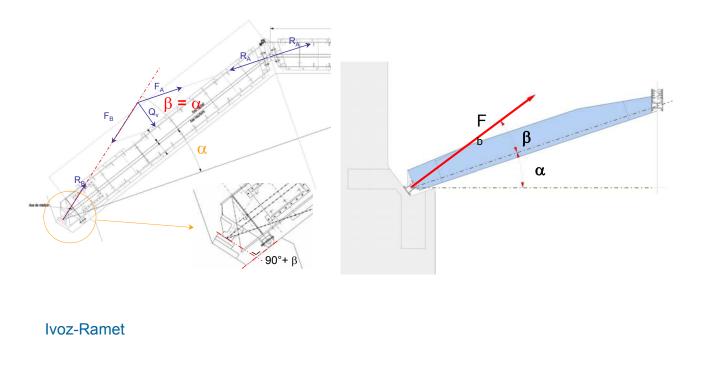
Lock 225x25m



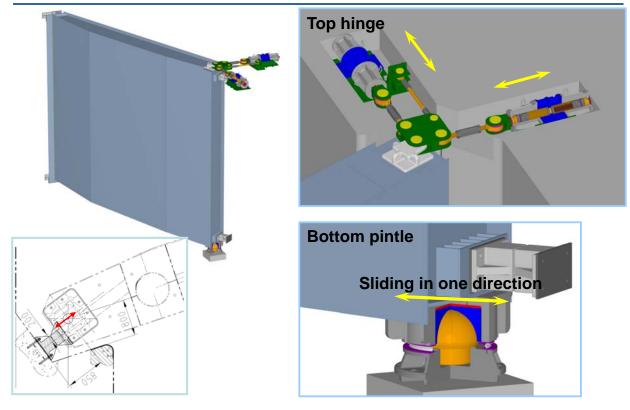
Plate girders with skin downstream - 14.07 x 10.91 Ivoz-Ramet

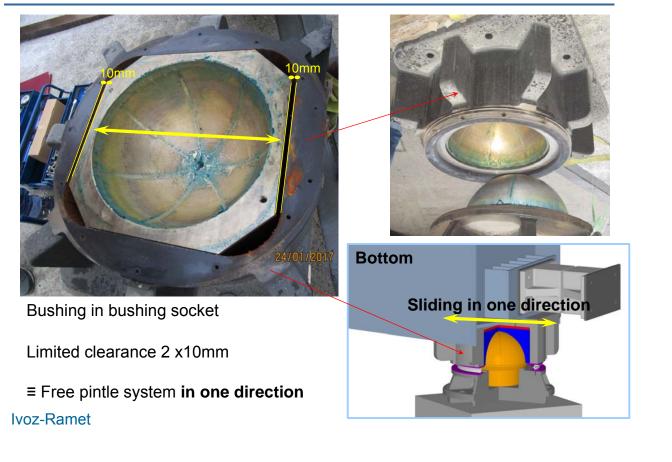
Mitre gate - Mode of transmission of forces

 $\beta = \alpha = 18^{\circ}.43 \rightarrow \text{No}$ force on the hollow quoin \equiv Pure solution



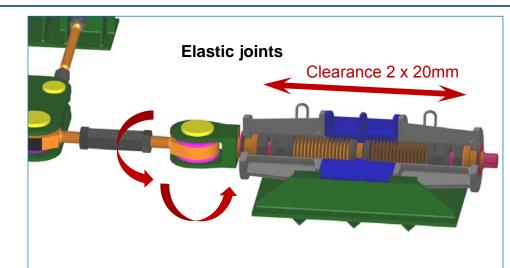
Lock 225x25m

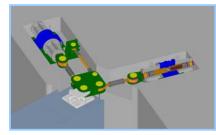




Lock 225x25m

Тор





Spring washer protection by grease and box



Ivoz-Ramet

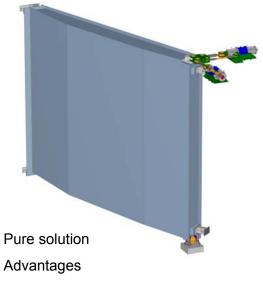
Comparison



Mixed solution

Advantages

- No hydraulic load on gate hinges
- · Very good sealing
- Easy and fast gate installation



- No hydraulic load on gate hinges
- Load transfer only over thrust block
- Well-controlled behavior





Weterwegen en Zeekanaal NV weg van water De Vlaamse Waterweg

Waterwegen en Zeekanaal NV wordt op 1/1/2018 De Vlaamse Waterweg nv

ir. Jeroen Verbelen project engineer

Evergem Lock Mitre Gates

Experience & lessons learnt from failures

> Workshop Mitre Gate Design and Operation, PIANC WG154 Brussels, 6-Nov-2017



Evergem Mitre Gates

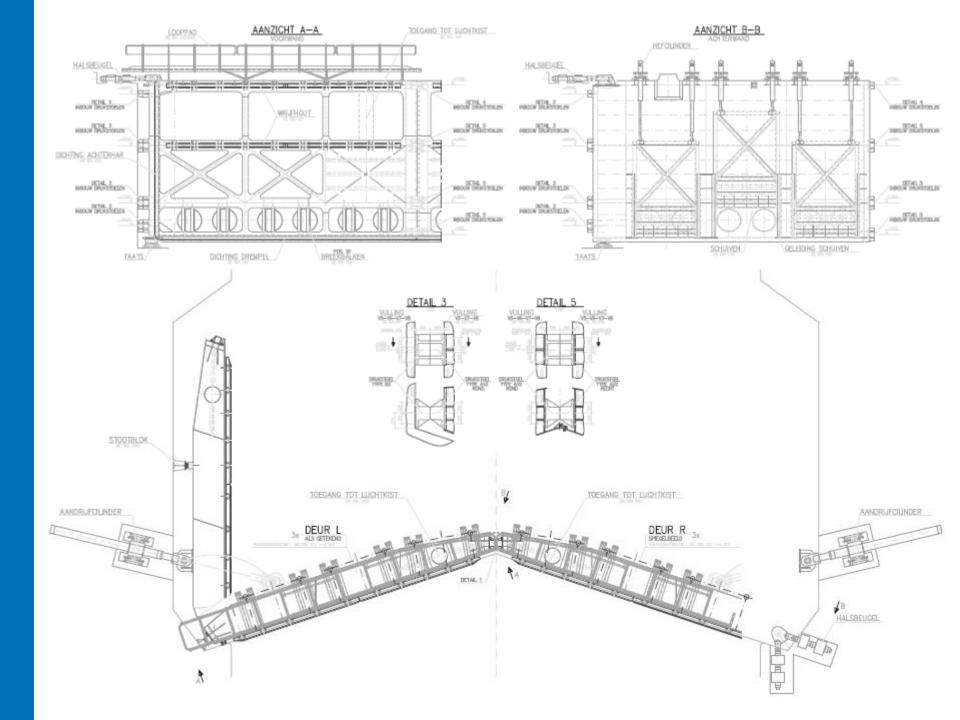
Lock characteristics 1st repair March 2014 Research 2nd repair August 2014 Conclusion

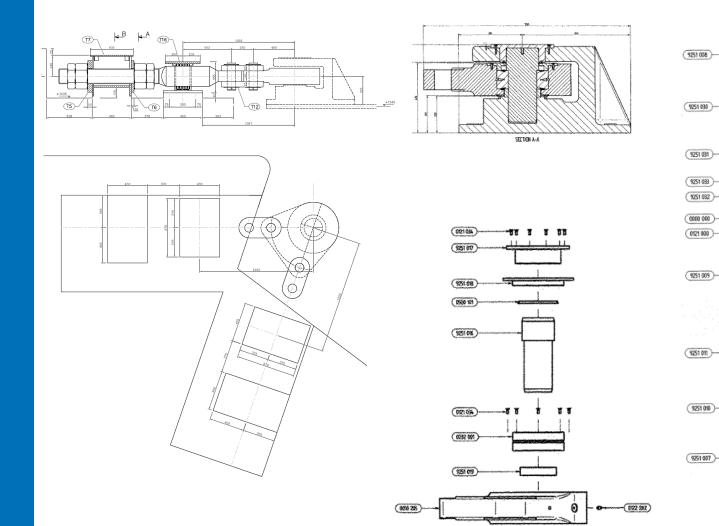


Lock characteristics

Watarweger er Zeskansel NV weg van weter 2nd lock of Evergem CEMT VIb In service since 2009 17 mio tonnes / a 80,000 TEU / a

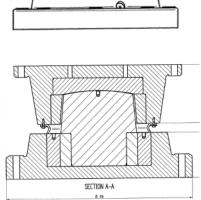






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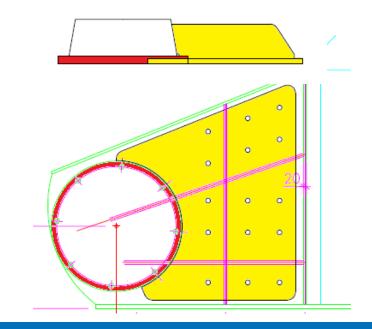
1st repair March 2014



closing problems on 4 gates connection pintle socket - gate >50% bolts failed emergency repair

















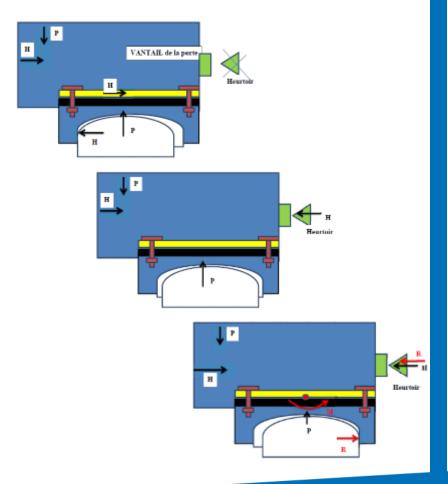


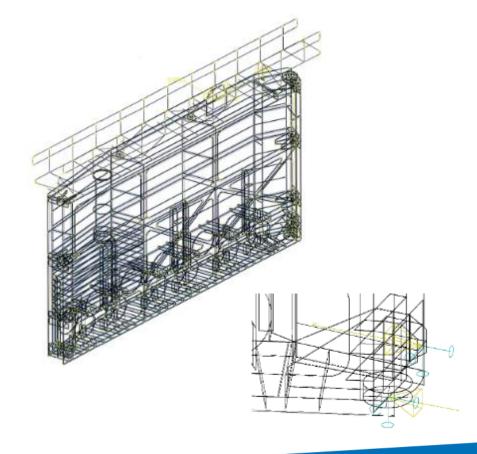
Research



type of bolts metallographic composition hardness fatigue stress in bolt measured

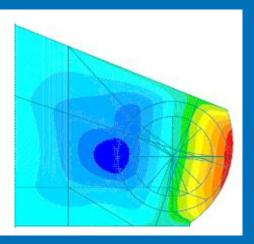








turning movement correct retaining position misaligned position FEM model misalignment leads to failure







2nd repair August 2014



closing problems on 4 gates diving inspection one gate leaf immobilised metal fragments found inspection in-the-dry







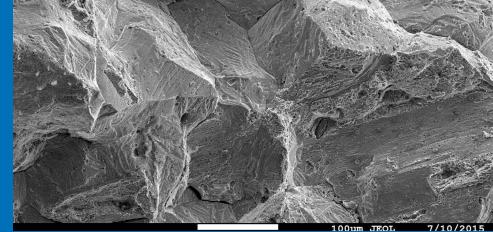
















tensile strength hardness notch toughness metallography brittle fracture intra granular fracture







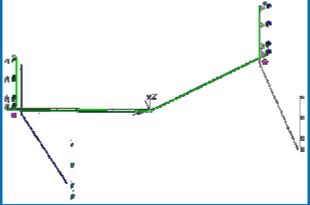








detailed 3D survey virtually simulated alignment hindered movement contact surface re-milled spacer / filling plates



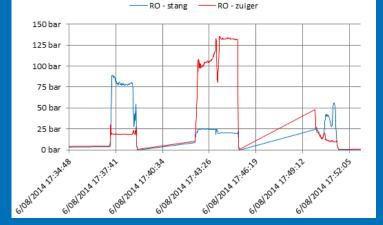








pressure registration head side rod side safety valve





Conclusion

Weterweger er Zeskansel NV weg van weter Alignment gate leafs Material properties Operating mechanism

contacts

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International Marine and Dredging Consultants IMDC nv

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Van Immerseelstraat 66 B-2018 Antwerpen

www.imdc.be



Weiterwegen en Zeekeneel NV weg van water







Cracking of USA Mitre Gates

Prepared by:

Eric Johnson, P.E., S.E.





US Army Corps of Engineers ® Louisville District

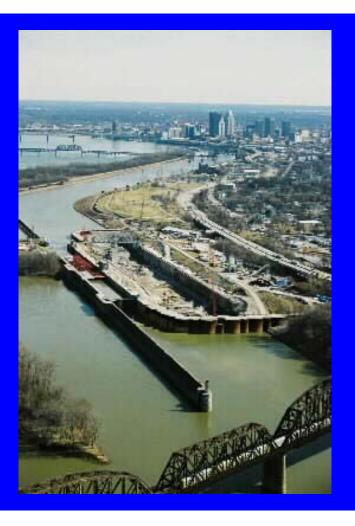
McAlpine Lock Closure and Emergency Repairs 9-19 August 2004

Peter W. Frick, PE Lock & Dam Emergency Repairs Workshop Vicksburg, MS 19 April 2006



US Army Corps of Engineers ® Louisville District

Project Overview





US Army Corps of Engineers ® Louisville District

Historical Background

 McAlpine lock chamber construction required demolition of the antiquated auxiliary chamber.

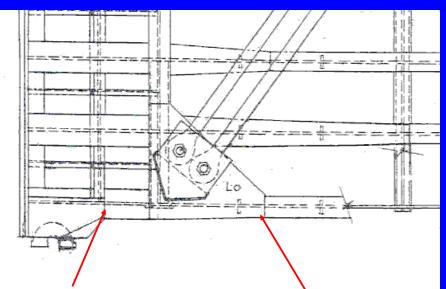
• This leaves it as only Ohio River lock project with no auxiliary chamber.

• McAlpine main chamber closure = Ohio River closure at Louisville.

The Problems

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						PINTENNEEDARE DARHRAGM.		
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The Problems



Cracked welds at pintle socket connection to gate.

Cracked welds across girder flange and web.

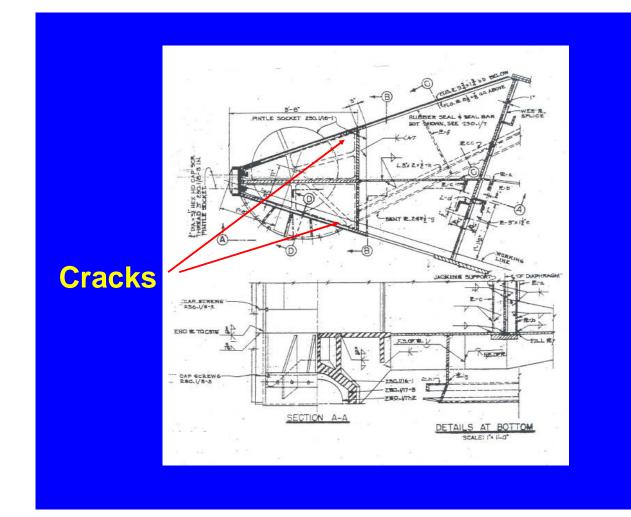


Plate Reinforcing Repair Overview

- Reinforce U.S & D.S Lower Quoin/Pintle Area and D.S. Lower Miter End with 19 mm (³/₄") Pre-Fab Plate
- Design plates to be installed easily in the field
- Cracks under plate will not be repaired unless deemed necessary by the Engineer.
- Irregular surfaces under plate areas to be ground smooth for plate to fit flush
- Clean and Blast areas of gate where plates will be welded

Plate Reinforcing Repair Overview (Cont.)

- Tack Weld plates to gate after positioning
- Following Weld Sequence shown on the plate drawings
- Check gap between Plate and Gate. Increase weld size if gap is > 1.6 mm (1/16")
- Inspect Welds MT, VT
- Paint w/ Epoxy Primer
- Silicone Caulk around inside perimeter of joining surfaces







US Army Corps of Engineers ® Louisville District

Work in Progress





US Army Corps of Engineers ® Louisville District

Work in Progress

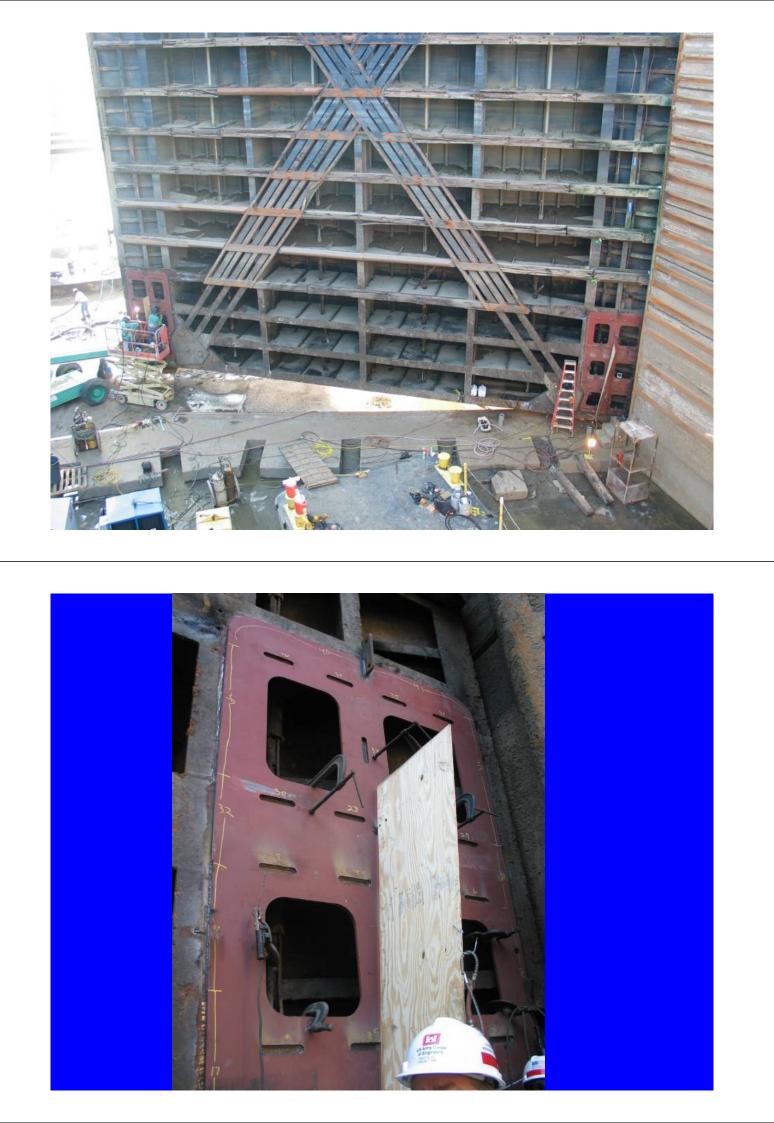




US Army Corps of Engineers ® Louisville District

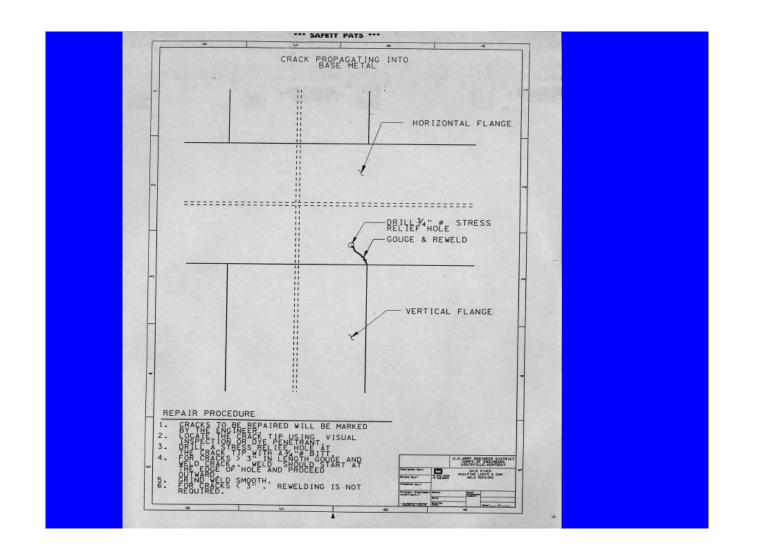
Work in Progress





Crack Repair Overview

- Cracks will either be drilled at the tip or gouged and rewelded
- Inspectors will identify cracks and the repair procedure to be used
 - Drill Only
 - Gouge and Reweld
 - Gouge, Reweld and Grind Smooth
- In general, cracks > 75 mm (3") will be gouged & rewelded. Cracks < 75 mm (3") will be drilled only.
- Visually Inspect welds. Some repairs may be UT'd.





Web Straightening and Repair

- Web buckling in critical areas need to be repaired
- Will probably require heat straightening or in a severe cases, plate replacement.
- Provide angle stiffeners to strengthen buckling capacity of the web







US Army Corps of Engineers ® Louisville District

Reopening





US Army Corps of Engineers ® Louisville District

Closing Observations

 Successful accomplishment attributed to intensive planning and advance preparation.

 Intense cooperation between LRL Engineering, Operations and Contracting provided the materials, equipment and expertise when needed.

 Availability of engineers on site 24 hours per day assured no delays or re-work when questions arose.



US Army Corps of Engineers ® Louisville District

Closing Observations

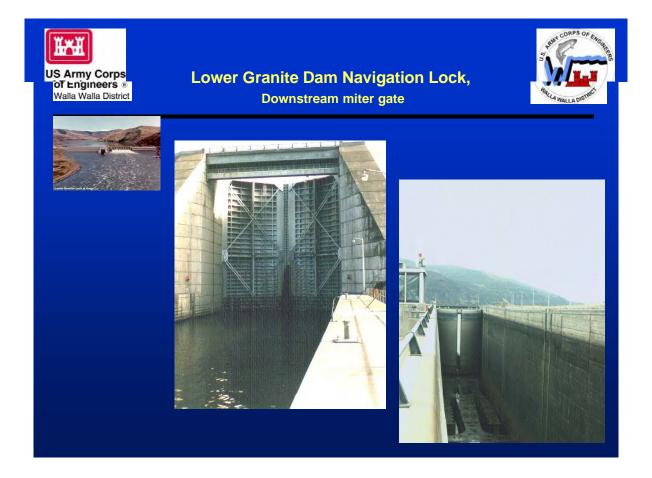
• The Esprit de Corps during this job was incredible. Everyone realized the importance of the work and made their best efforts.

Lower Granite Lock Downstream Mitre Gate Repair



by Mr. Robert Hollenbeck Walla Walla District, USACE







US Army Corps of Engineers ower Granite Dam Navigation Lock, Downstream miter gate Walla Walla District



- Arch miter gate r = 16.6 m (54'-6") to face of skin plate
- Lock width = 26 m (86 feet)
- Lock length = 206m (675 feet)
- Gate height = 37.5m (123 feet)
- Weight = 337 tonne (372 tons/leaf)
- Total hydrostatic head = 37 m (121 ft)
- Operates with hydraulic cylinders





Lower Granite Dam Navigation Lock,

Downstream miter gate







Lower Granite Dam Navigation Lock Downstream miter gate



In March 1998 cracks were discovered in the skin plates of both gate leafs during a periodic dam safety inspection of the navigation lock





Lower Granite Dam Navigation Lock Downstream miter gate



The crack also propagated from reentrant corner across the bottom horizontal girder





Walla Walla District

US Army Corps of Engineers



Additional cracks were found along the horizontal stiffener and quoin contact block recess, along with broken bolt head(s)







4 June 98

Drilled out the tip of the crack on the skin plate and inserted a bolt



Temporary repairs, removed skin plate



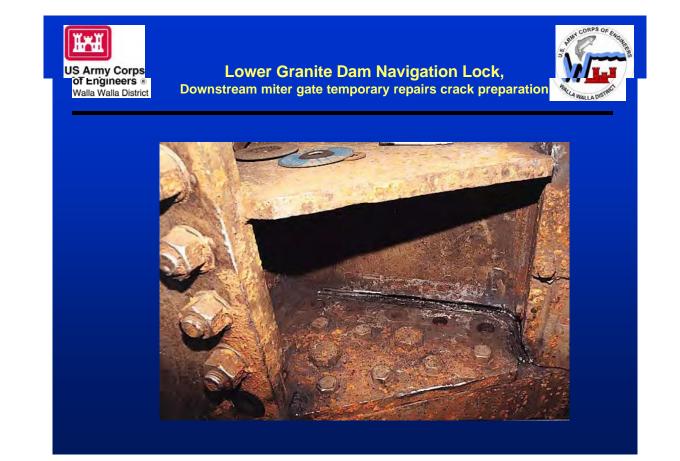
Lower Granite Dam Navigation Lock,

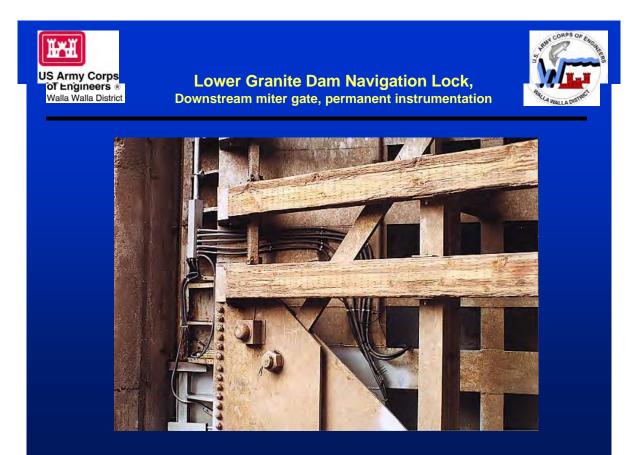
Desuration miter ante





Temporary repairs, new cover plate









Permanent Repairs FY 02

- Install temporary bridge •
- Raise gate off pintles •
- Replace pintle assembly \bullet
- Replace and realign gate quoin and miter contact blocks
- Replace and repair damaged steel members •
- Re-align bottom sill angle \bullet
- Set gate on pintles, reattach gudgeon, pre-stress • diagonals, set sill angles, test gate operation



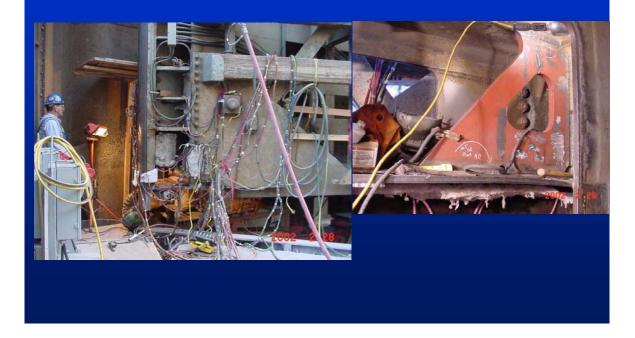






Lower Granite Dam Navigation Lock







Lower Granite Dam Navigation Lock









Lower Granite Dam Navigation Lock









Lower Granite Dam Navigation Lock









Questions? Comments?



Thank You for Your Kind Attention

MITER GATE EMBEDDED ANCHORAGE SOO LOCKS Sault Ste. Marie, MI



SOO LOCKS

- The Soo Locks are located on the St. Marys River at Sault Ste. Marie, Michigan, on the international border with Canada.
- There are two operating locks at the Soo, the MacArthur Lock, (1943) and the Poe Lock (1968).
- POE Lock Approximately **70 percent** of the Great Lakes fleet carrying capacity can only pass.
- Approximately \$160 million 30 day unscheduled closure of the Soo Locks would have a **direct economic impact to the shipping industry**
- Half of all steel produced in the U.S. is manufactured with domestically mined ore and over **92% of the iron ore mined in the U.S**. traverses through the Soo Locks. Steel-dependant industries contribute more than 10% to the total U.S. Gross Domestic Product.

•The Soo Locks shut down from mid January to early April because of ice and extreme weather



POE Lock General Information

- The POE lock was formally dedicated and placed into service on 26 June 1969 (47 years old).
- POE Lock chamber 110 ft x 1200 ft (33.5m x 365.7m)
- 32 ft (9.7m) depth of water over the sills at normal lower pool.
- Head of 21.5 feet (6.6m)
- Approx. 63 ft (19.2m) wide gate leaf between contact blocks
- Approx. 37 ft (11.3m) tall between CL gudgeon and sill
- Miter angle 1:3, or 18.4 degrees
- 1-Upstream Operating Gate, 2 Downstream Operating Gates, and 1 Downstream maintenance Gate

3

ASTM A36 Steel





Rijkswaterstaat Ministerie van Verkeer en Waterstaat



MITRE GATE DESIGN AND OPERATION

The World Association for Waterborne Transport Infrastructure



INCOM PIANC Working Group 154 Case study:

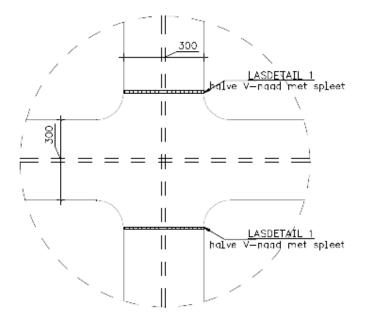
MAASBRACHT Lock Mitre Gates

Jos Vorstenbosch, Brussels, November 6 th 2017



Fatique Design





Cracks in Old Gates

New Gate details

Fatigue analysis must include hydraulic loads + machinery loads.

Rijkswaterstaat



FRP Composite Hinges





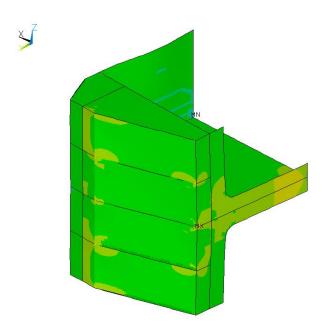
Bottom Pivot

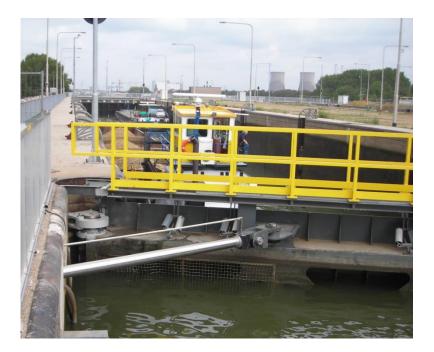
Top Hinge

Design includes obstruction by obstacle + wear + connections to steel. High Engineering efforts and Testing is needed.



Heel post design:





Closed structure

Entrapment of floating obstacle caused high loads at top Hinge

Rijkswaterstaat



Top Hinge failure:



Entrapment of floating obstacle caused anchorage failure

Anchorage is > 1.5 x stronger than sacrificial bolts (issue fatigue life)



Heel post re-design:





Thick horizontal plates replaced closed structure as 'obstacle crushers'

Rijkswaterstaat



Lessons learnt MAASBRACHT Lock Gates

- Curved / smooth steel plate design
- Welds in low stress locations
- Fatigue analysis must include hydraulic loads + machinery loads



- FRP Composite Hinges need high Engineering effort and Testing
- Closed Heel post structure is susceptible for high obstacle loads on Hinges and Gate itself
- Sacrificial bolts / Pins for Anchorage is recommended

Join Us in Panama city, Panama

The Panama Canal Authority (ACP) will proudly host the 34th PIANC World Congress 2018 in Panama City, Panama.

PIANC World Congress presents and discusses topics relevant to the waterborne transport and infrastructure sector. It rotates among PIANC's member countries every four years and it is open to members and non-members. Participants, will have the opportunity to exchange knowledge and experiences.

The PIANC 2018

- Technical Short Courses
- Plenary Sessions
- Concurrent Technical Session Tracks
- Industry Exhibition
- Technical Tours
- Networking Events
- Accompanying Persons Cultural Tours

Abstracts Due: October 15, 2017 Author Notification: November 15, 2017 Papers Due: March 16, 2018 Conference: May 7-12, 2018





PIANC's goal is to advance the sustainable development of shallow and deep-draft navigation issues including dredging and dredged material disposal, navigation and port infrastructure, recreational navigation and related environmental matters.

CALL FOR ABSTRACTS - Abstract deadline: October 15, 2017 -

34th PIANC WORLD CONGRESS PANAMA 2018 May 7 to 12 Connecting Maritime Hubs _{Globally}

NAVIGATION • PORTS • WATERWAYS • ENVIRONMENT

Connecting Maritime Hubs _{Globally}

Presenters from all continents sharing best practices and project innovation.

When submiting your abstract choose the theme/topic below that is most appropriate.

Themes/Topics

Inland navigation

- Waterway infrastructures: locks, weirs, riverbanks, others.
- Advances in navigation locks design after the Panama Canal Expansion
- Inland navigation channels: safety and reliability
- Integration of inland waterways into inter-modal supply chain
- Inland navigation, waterways, ports & terminals
- Systems and Infrastructures: design & management for inland navigation projects
- · River Information Services (RIS, AIS, others)
- River navigation flow control
- Salt water intrusion
- Maintenance and operation of IW, transport & infrastructures
- Inland navigation in South America



Dredging (in the framework of port and navigation projects)

- · Effective planning and execution of dredging projects
- Lessons learned from dredging projects worldwide
- Risk management in dredging projects
- Disposal site planning taking into account alternate land uses
- · Current dredging & management innovations

Logistics & Infrastructure

- Challenges for capitalizing navigational channels bordering lands
- Synergies among airports, ports and inter-modal assets
- Integrated management of global supply chains
- Integrating ports and economic special zones
- Provision of added value logistic services in maritime hubs
- Project management in the Panama Canal Expansion

Ports

- · Impact and innovative solutions for hinterland ports
- Dry ports: better and more efficient
- Ports of the future: technologies, automation, traceability
- · Port management models
- Maritime Port planning and operations
- Inter-modal connections
- · Coastal and Port Engineering (in relation with navigation)
- Storm Surge & Tsunami barriers and Flood protection gates

Marinas

- Sustainable and resilient marina design Marinas Working with Nature (best practices and case studies)
- Marinas as part of Tourism, Ports and Urban Master Plans (best practices and case studies)
- Planning Framework for Recreational & Tourism Navigation Infrastructure Systems (goals, strategies and challenges)
- Regulatory Framework and Private Marina Development in Countries in Transition (best practices and case studies)
- Regional Cooperation and Standardization of Recreational Navigation Information Systems Transition (best practices and case studies)
- Recreational components of mitigation plans for large navigation infrastructure projects

Environment

- · Environmental management in navigation
- Climate change and emissions, energy efficiency, international regulations, carbon markets

- · Fresh water availability for operations
- New technologies on infrastructure, pollution prevention, port reception facilities and ballast water
- Multiple purpose water resource systems (transport, energy, recreation, ecosystems, watersheds, potable water, ...)
- Navigation as a green transportation mode
- Societal awareness and responsibility, combining economic growth, environment/sustainability and welfare
- Climate Change Mitigation in the Maritime Industry

Abstract Submission

Each abstract must be written in English and limited to 1000 words. It should not include graphics/figures. Abstracts shall be submitted online at:

https://www.conference-service.com/pianc-panama

Deadline for abstract submissions: October 15, 2017

When submitting Your Abstract:

- Choose the theme/topic from the available list that best fits your presentation.
- Provide the required contact information (name, company, business address, phone, and email) for the corresponding author and any co-authors. Also, indicate who you expect to make the presentation at the conference.
- Submit a text-only summary description (limited to 1000 words) of the presentation.
- Submit a statement about why the presentation will be of interest and benefit to conference attendees.

Prospective authors, whose abstracts are accepted, are expected to attend the conference, pay the appropriate fees, and make the presentation in person. ACP/PIANC will accept or reject proposed presentations based on the information provided in the abstract.

To the extent possible, the appropriate presentations will be assigned to conference technical sessions based on the theme identified by the author. ACP/PIANC reserves the right to assign presentations to other conference sessions.

For questions about abstract submission, please contact ACP at Congress2018@pancanal.com

Expenses

All expenses associated with the preparation, submission and presentation of abstracts are the responsibility of the authors and co-authors. All attendees are required to pay conference registration fees.